

United States Environmental Protection Agency  
Office of Pollution Prevention and Toxics  
1200 Pennsylvania Avenue, N.W.  
Washington, D.C. 20460

**TSCA SECTION 5 ORDER FOR A NEW CHEMICAL SUBSTANCE**

Premanufacture Notice (PMN) Number: P-xx-xxxx

Submission Date:

In accordance with the provisions of Section 5(e) of the Toxic Substances Control Act ("TSCA"),  
15 U.S.C. § 2604(e),

insert Company name and use brackets if it's CBI

is authorized to manufacture, process, distribute in commerce, use, and dispose of the New  
Chemical Substance in the United States only in accordance with the requirements and  
conditions described in this Order.

\_\_\_\_\_  
Shari Z. Barash, Director  
New Chemicals Division  
Office of Pollution Prevention and Toxics  
U.S. Environmental Protection Agency

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

\_\_\_\_\_  
Company

## Table of Contents

Table of Contents.....	ii
Jurisdiction and General Provisions.....	2
EPA’s Determination under Section 5(a)(3)(B).....	4
Requirements.....	5
I. Testing and Reporting Requirements.....	5
A. Triggered Testing Requirements.....	5
B. Cumulative Volume Reporting Requirements.....	5
C. Testing/Reporting Requirements.....	6
II. Terms of Manufacturing.....	6
A. Conditions of Manufacturing for the Company.....	6
B. Limit on Manufacture by Others.....	7
C. Contract Manufacturing.....	7
III. Terms of Processing.....	8
IV. Terms of Use.....	8
V. Terms of Distribution.....	9
A. Export Notification.....	9
B. Written Agreement.....	10
C. Recipient Non-Compliance.....	10
D. Termination of Certain Obligations Through Significant New Use Rule (SNUR) and Final SNUR Required Notification.....	11
VI. Temporary Transport and Storage.....	11
VII. Terms of Disposal.....	12
VIII. Release to Water.....	12

IX. Protection in the Workplace and Hazard Communication Program .....	13
X. Risk Notification .....	15
XI. Recordkeeping .....	16
XII. Automatic Sunset of Test Market Exemption (“TME”), Low Volume Exemption (“LVE”), and Low Release and Exposure Exemption (“LoREX”).....	16
XIII. Exemptions.....	16
XIV. Requests for Information.....	17
XV. Successor Liability Upon Transfer of Order .....	17
XVI. Modification and Revocation of the Order.....	17
XVII. Office of Management and Budget (OMB) Control Number .....	18
XVIII. Reservation of Rights.....	18
XIX. Effective Date .....	18
XX. Potentially Useful Information .....	18
Appendix 1: Definitions.....	20
Appendix 2: Basis for EPA’s Determination .....	24
I. Human Health Hazard Summary .....	25
II. Environmental Hazard Summary .....	26
III. Fate Summary .....	26
IV. Exposure and Risk Summary.....	27
A. Risks to Human Health .....	28
B. Risks to the Environment.....	29
Appendix 3: Testing Provisions .....	30
I. Notice of Test Scheduling .....	30
II. Good Laboratory Practice Standards.....	31

III. Modified Test Protocols.....	31
IV. Submission of Test Reports and Underlying Data. ....	31
V. Raw Data .....	31
VI. Interim Results .....	31
VII. Submission of Information.....	32
VIII. Effect of Equivocal Results .....	32
IX. Determination of Invalid Data .....	32
Appendix 4: Termination of Certain Obligations through Significant New Use Rule (SNUR) and SNUR Notification Requirements.....	34
I. Termination of Certain Obligations Through a SNUR.....	34
II. Final SNUR Required Notification .....	34
Appendix 5: Contract Manufacturers .....	35
I. Contract Manufacturer Limitation.....	35
II. Manufacture Solely for the Company.....	35
III. Signed Order .....	35
IV. Contract Manufacturer Noncompliance.....	35
Appendix 6: Protection in the Workplace and Hazard Communication Program.....	37
I. Engineering and Administrative Controls .....	37
II. Respiratory Protection.....	37
A. Respirators.....	37
B. New Chemical Exposure Limit (NCEL).....	37
III. Dermal Personal Protective Equipment .....	40
IV. Written Hazard Communication Program .....	42
V. Labeling.....	43

VI. Safety Data Sheets .....	44
VII. Employee and Contractor Information and Training.....	45
VIII. Required Human Health and Environmental Hazard Statements.....	46
Appendix 7: Recordkeeping .....	47
I. Manufacturing Volume .....	47
II. Sites of Manufacture.....	47
III. Sales and Transfers .....	47
IV. Protection in the Workplace.....	47
A. Protection in the Workplace Requirements.....	47
B. Demonstration of Imperviousness .....	48
C. New Chemical Exposure Limits.....	48
D. Hazard Communication Program .....	48
V. Compliance with this Order .....	48
A. Terms of Manufacturing, Processing, Use, Distribution and Disposal .....	48
B. Disposal Requirements.....	48
C. Release to Water Requirements .....	49
VI. Exemption Records .....	49
Appendix 8: Successor Liability Upon Transfer of Order .....	50
Appendix 9: Performance-Criteria for Sampling and Analytical Method.....	54
I. Applicability.....	54
II. Submission of Verified Method and Certification Statement .....	54
III. Verification of Analytical Method by Independent Third-Party Laboratory .....	55
A. Verification .....	55
B. Independent Reference Laboratory .....	55

C.	Accreditation .....	55
D.	Good Laboratory Practice Standards.....	55
E.	Analysis of Duplicate Samples .....	56
F.	Sample Storage Study.....	56
G.	Comparison of Results .....	57
H.	Submission of Analytical Method Validation .....	57
IV.	Accuracy.....	57
A.	NCEL Quantitation Limits.....	57
B.	Lower Quantitation Limit Signal-To-Noise Ratio .....	58
C.	Instrument Calibration .....	58
D.	Calculated Percent Recovery.....	59
E.	Sampling Device Capacity.....	60
F.	Sampling Device Desorption Efficiency .....	60
V.	Precision.....	61
VI.	Interpretation of Accuracy and Precision Data.....	61
VII.	Representativeness.....	61
VIII.	Changes Affecting Validity .....	61
A.	Changes in the Workplace Environment .....	61
B.	Respirator Requirement .....	62
IX.	Comparability.....	62
X.	Responsibility for Method Validity .....	62
	Appendix 10: Monitoring Potential Exposure .....	63
I.	General.....	63
A.	Action Level .....	63

B.	Representative Exposure Groups .....	63
C.	Good Laboratory Practice Standards.....	63
D.	Full Shift Exposure Samples .....	64
E.	STEL Samples .....	64
II.	Initial Monitoring .....	64
III.	Periodic Monitoring .....	64
IV.	Termination of Monitoring .....	65
V.	Additional Monitoring.....	66
VI.	Notification of Monitoring Results .....	67
VII.	Exemption based on Objective Data .....	67
Appendix 11: Statistical Analysis of NCELS Analytical Method Verification Results .....		68
Appendix 12: NCEL Recordkeeping.....		71
Appendix 13: NCELS Respirator Tables .....		73

## Jurisdiction and General Provisions

This Order is issued by the United States Environmental Protection Agency (“EPA” or “the Agency”) pursuant to Section 5(e) of the Toxic Substances Control Act (“TSCA”), as amended by the Frank R. Lautenberg Chemical Safety for the 21st Century Act, 15 U.S.C. § 2604(e), regarding premanufacture notice (PMN) P- **insert PMN number** submitted by **insert Company name and use brackets if CBI** (the Company) for **insert specific chemical name and CASRN and use brackets if CBI** (“New Chemical Substance”). This Order is issued and entered into under the authority vested in the Administrator of the EPA by Section 5(e)(1) of TSCA, 15 U.S.C. § 2604(e)(1).

Based upon EPA’s assessment of the New Chemical Substance, the administrative record, and determinations made herein, the Company may manufacture, process, distribute in commerce, use, and dispose of the New Chemical Substance in the United States only in accordance with the requirements and conditions described in this Order.

The Company must comply with all provisions of this Order, including but not limited to, all appendices to this Order and all documents incorporated by reference. Under Section 15 of TSCA, 15 U.S.C. § 2614, it is unlawful to fail or refuse to comply with any order issued under Section 5(e) of TSCA, 15 U.S.C. § 2604(e). Any person who violates the terms of this Order may be subject to both criminal and civil liability pursuant to Section 16 of TSCA, 15 U.S.C. § 2615, and to specific enforcement and seizures pursuant to Section 17 of TSCA, 15 U.S.C. § 2616. Falsifying information provided to EPA or concealing Information from EPA is a violation of this Order and is subject to penalties pursuant to 18 U.S.C. § 1001.

This Order encompasses the entire agreement between the EPA and the Company with regard to the New Chemical Substance and supersedes all previous agreements between the parties, whether oral or written.

The Company waives any rights to challenge the basis or validity of this Order or its terms, including both substantive and procedural requirements, such as whether the order was issued



45 days before the end of the PMN Review Period as provided in TSCA § 5(e)(1)(B), 15 U.S.C. § 2604(e)(1)(B).

The Company has carefully reviewed this Order and agrees that all information that is claimed as confidential is correctly identified within brackets and that the Company has previously submitted that information to EPA under a claim of confidentiality in accordance with the requirements of TSCA and applicable regulations. Any information that is not bracketed is not claimed as confidential and/or any previous confidentiality claim is withdrawn.

Nothing in this Order substitutes for, or supersedes, any statutory and regulatory requirements under TSCA or any other statute. The Company must immediately notify EPA if it obtains any information which reasonably supports the conclusion that the New Chemical Substance presents a substantial risk of injury to health or the environment, as required under Section 8(e) of TSCA, 15 U.S.C. § 2607(e). The notice must reference the appropriate PMN identification number for this substance and contain a statement that the New Chemical Substance is subject to this Order.

The terms and conditions not otherwise defined in this Order have the meaning assigned to them in TSCA or in regulations promulgated under TSCA. Appendix 1 Definitions shall apply to this Order and its appendices.

## EPA's Determination under Section 5(a)(3)(B)

The following determination constitutes the basis of this Order issued under Section 5(e) of TSCA, 15 U.S.C. § 2604(e):

EPA has determined, pursuant to Sections 5(a)(3)(B)(i) and 5(e)(1)(A)(i) of TSCA, 15 U.S.C. §§ 2604(a)(3)(B)(i) and (e)(1)(A)(i), that the information available to the Agency is insufficient to permit a reasoned evaluation of the health and environmental effects of the New Chemical Substance.

**OR**

EPA has determined, pursuant to Sections 5(a)(3)(B)(ii)(I) and 5(e)(1)(A)(ii)(I) of TSCA, 15 U.S.C. §§ 2604(a)(3)(B)(ii)(I) and (e)(1)(A)(ii)(I), that, in the absence of sufficient information to permit the Agency to make a reasoned evaluation of the health and environmental effects of the New Chemical Substance, the manufacture, processing, distribution in commerce, use, or disposal of the New Chemical Substance may present an unreasonable risk of injury to health or the environment.

**OR**

EPA has determined, pursuant to Sections 5(a)(3)(B)(ii)(II) and 5(e)(1)(A)(ii)(II) of TSCA, 15 U.S.C. §§ 2604(a)(3)(B)(ii)(II) and (e)(1)(A)(ii)(II), that the New Chemical Substance is or will be produced in substantial quantities and that the New Chemical Substance either enters or may reasonably be anticipated to enter the environment in substantial quantities or there is or may be significant or substantial human exposure.

The basis for EPA's determination is attached as Appendix 2 to this Order.

## Requirements

The Order applies to all commercial manufacturing, processing, distribution in commerce, use and disposal of the New Chemical Substance, **insert PMN number, insert chemical name and CASRN and use brackets if CBI** by the Company, as follows:

### I. Testing and Reporting Requirements

The Company has the following testing and/or reporting requirements:

#### A. Triggered Testing Requirements

The Company is prohibited from manufacturing the New Chemical Substance beyond the cumulative domestic manufacturing volumes (“Manufacturing Limit”) or time (“Time Limit”) set forth in Table 1 below unless the Company has submitted to EPA the final reports and data for the Required Triggered Testing, in accordance with the Testing Provisions outlined in the Testing Provisions Appendix.

Manufacturing Limit (Kgs) or Time Limit	Study	Test Guideline

#### B. Cumulative Volume Reporting Requirements

Until the Company submits all final reports and underlying data as specified in Paragraph A above, the Company must report the cumulative manufacturing volume every **frequency of reporting** following submission of the Notice of Commencement (NOC). These reports must be submitted **reporting conditions..... Ex by January 31<sup>st</sup> of the year subsequent to the reporting year.**

This information must be submitted as a support document for the PMN, using the procedures set out in 40 C.F.R. § 720.40.

C. Testing/Reporting Requirements

The Company must report **insert testing and/or reporting requirement** every **frequency and duration** following submission of the Notice of Commencement (NOC).

This information must be submitted as a support document for the PMN, using the procedures set out in 40 C.F.R. § 720.40.

II. Terms of Manufacturing

A. Conditions of Manufacturing for the Company

**(the following terms are examples; other terms may be used)**

The Company may manufacture the New Chemical Substance only in an enclosed process.

The Company may manufacture the New Chemical Substance only below an annual volume of **insert PV limit and use brackets if it's CBI** kilograms.

The Company may manufacture the New Chemical Substance only by import into the United States (i.e., no domestic manufacture).

The Company may manufacture the New Chemical Substance only in the form of a liquid.

The Company may manufacture the New Chemical Substance only in a manner that does not result in inhalation exposure to the New Chemical Substance to potentially exposed persons.

The Company may manufacture the New Chemical Substance only in a manner that does not generate a vapor, mist, dust, or aerosol containing the New Chemical Substance.

**B. Limit on Manufacture by Others**

1. The Company must not cause, encourage, or suggest the manufacture of the New Chemical Substance within the United States by any other person.
2. Termination of Certain Obligations Through Significant New Use Rule (SNUR) and Final SNUR Required Notification.
  - a. The prohibition in the above Paragraph 1 expires 75 days after publication of the final SNUR according to the provisions in the Appendix titled Termination of Certain Obligations through Significant New Use Rule (SNUR) and SNUR Notification Requirements.
  - b. After the prohibition in the above Paragraph 1 expires, whenever the Company causes, encourages, or suggests that any other person manufacture the New Chemical Substance, the Company must notify that person in writing of the existence of the final SNUR, according to the conditions outlined in the Appendix titled Termination of Certain Obligations through Significant New Use Rule (SNUR) and SNUR Notification Requirements, and maintain a copy of such notification for 5 years.

**C. Contract Manufacturing**

The Contract Manufacturer(s) may manufacture the New Chemical Substance pursuant to the requirements in the Order for Contract Manufacturers and in the Contract Manufacturers Appendix.

The Company may request EPA to include additional Contract Manufacturers pursuant to the Modification and Revocation Section of this Order.

### III. Terms of Processing

(the following terms are examples; other terms may be used)

The Company may process the New Chemical Substance only in an enclosed process.

The Company may process the New Chemical Substance only in a manner that does not generate a vapor, mist, dust, or aerosol containing the New Chemical Substance.

The Company may process the New Chemical Substance only in a manner that does not result in inhalation exposure to the New Chemical Substance to potentially exposed persons.

The Company may process the New Chemical Substance only in a liquid formulation.

The Company may process the New Chemical Substance for use in a consumer product only if the concentration of the New Chemical Substance does not exceed **insert concentration and specify the basis (e.g., by weight)** in the consumer product.

The Company may not process the New Chemical Substance for use in a consumer product.

### IV. Terms of Use

(the following terms are examples; other terms may be used)

The Company may use the New Chemical Substance only as **insert PMN specific use and remember to use brackets if it's CBI.**

The Company may use the New Chemical Substance only in an enclosed process.

The Company may use the New Chemical Substance only as a site-limited intermediate.

The Company may use the New Chemical Substance only in a manner that does not result in inhalation exposure to the New Chemical Substance to potentially exposed persons.

The Company may use the New Chemical Substance only in a manner that does not generate a vapor, mist, dust, or aerosol containing the New Chemical Substance.

The Company may use the New Chemical Substance in a manner that generates a vapor, mist, dust, or aerosol containing the New Chemical Substance only in an enclosed process.

The Company may use the New Chemical Substance only if the concentration of the New Chemical Substance does not exceed **insert concentration and specify the basis (e.g., by weight) and use brackets if CBI.**

The Company may use the New Chemical Substance in a consumer product only if the concentration of the New Chemical Substance does not exceed **insert concentration and specify the basis (e.g., by weight) and use brackets if CBI** in the consumer product.

The Company may not use the New Chemical Substance in a consumer product.

## V. Terms of Distribution

The Company may distribute the New Chemical Substance to another person only under the following conditions:

### A. Export Notification

The Company must notify, in writing, any person to whom it distributes the New Chemical Substance, that the New Chemical Substance is subject to the notification

requirements of TSCA Section 12(b), 15 U.S.C. § 2611(b), and 40 C.F.R. part 707, subpart D.

**B. Written Agreement**

Prior to distributing the New Chemical Substance to any person, the Company must obtain from that person a written agreement that the person will:

1. Comply with the terms and restrictions located in the following Sections and Appendices of this Order:
  - a. The Protection in the Workplace and Hazard Communication Program Section and the Protection in the Workplace and Hazard Communication Program Appendix.
  - b. The Terms of Manufacturing Section, the Terms of Processing Section, the Terms of Use Section, the Terms of Disposal Section, the Release to Water Section, and the Recordkeeping Section and Appendix.
2. Not further distribute the New Chemical Substance to any other person except for the purposes of disposal or according to the terms and conditions for temporary transport and storage.

**C. Recipient Non-Compliance**

If the Company obtains knowledge that a Recipient of the New Chemical Substance has failed to comply with any of the Terms of this Order, the Company must immediately cease to supply the substance to that Recipient, unless the Company is able to document all of the following:

1. The Company, within 5 working days of obtaining knowledge of non-compliance, notified the Recipient in writing that the Recipient has failed to comply with any of the Terms of Distribution, or has engaged in a significant new use without submitting a significant new use notice (SNUN) to the EPA.
2. The Company, within 15 working days of notifying the Recipient of the noncompliance, received a written statement of assurance that the Recipient is



aware of the Terms of Distribution and will comply with those terms or is aware of the terms of the SNUR and will not engage in a significant new use without submitting a SNUN to EPA.

3. The Company, after obtaining knowledge that the Recipient has failed to comply with any Terms of Distribution requirements or has engaged in a significant new use without submitting a SNUN after receiving a written statement of assurance from the Recipient, immediately ceased to supply the New Chemical Substance to the Recipient and notified EPA.
4. The Company received written notification from EPA that permits its distribution of the New Chemical Substance to the Recipient.

D. Termination of Certain Obligations Through Significant New Use Rule (SNUR) and Final SNUR Required Notification

- a. The requirements of the Written Agreement and Recipient Non-Compliance paragraphs of this Terms of Distribution Section, and the Temporary Transport and Storage Section, will terminate in accordance with the conditions of the Appendix titled Termination of Certain Obligations through Significant New Use Rule (SNUR) and SNUR Notification Requirements.
- b. Whenever the Company distributes the New Chemical Substance to another person, the Company must notify that person in writing of the existence of the final SNUR, according to the conditions outlined in the Appendix titled Termination of Certain Obligations through Significant New Use Rule (SNUR) and SNUR Notification Requirements and maintain a copy of such notification for 5 years.

VI. Temporary Transport and Storage

The Company's transport of the New Chemical Substance for temporary storage must be pursuant to the following limitations:

- A. Containers containing the New Chemical Substance must be sealed.
- B. Containers must be labeled according to the requirements in the OSHA Hazard Communication Standard set forth at 29 C.F.R. § 1910.1200 and should not conflict with the requirements of the Hazardous Materials Transportation Act (49 U.S.C. § 5101 *et seq.*) and its implementing regulations issued by the Department of Transportation.
- C. Opening sealed containers, removing the New Chemical Substance, or cleaning (including rinsing) the transport containers that results in human exposure or environmental release may occur only while the New Chemical Substance is in the possession and control of the Company or of a person who has given the Company the written agreement required by the Terms of Distribution section.

VII. Terms of Disposal

If disposal requires temporary transport and storage of the New Chemical Substance, the requirements of the Temporary Transport and Storage section apply.

(the following terms are examples; other terms may be used)

The New Chemical Substance or waste streams containing the New Chemical Substance must be disposed of using the methods(s) described in the PMN.

The New Chemical Substance or waste streams containing the New Chemical Substance must be disposed of by **incineration, hazardous waste incineration in compliance with RCRA, incineration above 1,000 degrees C for at least two seconds, landfill (specify RCRA subtitle C or D, if appropriate).**

VIII. Release to Water

(the following terms are examples; other terms may be used)

The Company is prohibited from any release of the New Chemical Substance, or any waste stream containing the New Chemical Substance, into water.

The Company may release the New Chemical Substance, or any waste stream containing the New Chemical Substance, into water if the quotient from the formula:

$$\frac{\text{number of kilograms/day/site released}}{\text{receiving stream flow (million liters/day)}} \times 1000 = N \text{ ppb}$$

does not exceed **limit**, when calculated using the methods described in 40 C.F.R. § 721.91.

If for any reason the Company fails to comply with the release limitations applicable to the New Chemical Substance, the Company shall notify EPA, in writing, within 5 days of the release. The notification must include the location of the release, an explanation and description of the reasons for the release, the amount of the release or deviation, all actions taken or to be taken to prevent or minimize the release and future release, and a schedule for implementation of any measures to be taken to prevent or mitigate effects of the release and any future releases.

IX. Protection in the Workplace and Hazard Communication Program

The Company must establish and implement a program to prevent workplace exposure pursuant to the requirements in the Protection in the Workplace and Hazard Communication Program Appendix and in accordance with Occupational Safety and Health Administration (OSHA) and National Institute for Occupational Health (NIOSH) requirements prior to manufacturing, processing, using, distributing in commerce, and/or disposing of the New Chemical Substance. Should OSHA or NIOSH requirements conflict with and result in fewer or less stringent protections than those in the Protection in the Workplace and Hazard Communication Program Appendix, the requirements in the Appendix apply.

The Company must also establish and implement a hazard communication program pursuant to the requirements in the Protection in the Workplace and Hazard

Communication Program Appendix and in accordance with the requirements in the OSHA Hazard Communication Standard 29 C.F.R § 1910.1200 prior to manufacturing, processing, using, distributing, and disposing of the New Chemical Substance.

The Company must keep a copy of this Consent Order in a clearly visible and readily accessible location at each of its sites where the New Chemical Substance is present in the work area, during each work shift. The Company must provide a copy of this Consent Order to potentially exposed persons or to their designated representatives upon request. The Company must also provide the hazard communication program to potentially exposed persons or their designated representatives upon request.

The copy of the Consent Order must be complete, except where the Order contains information that the Company has claimed as confidential when submitted to EPA. In such an event, the Company must ensure that any copy of this Consent Order kept in the work area or provided to potentially exposed persons or their designated representatives under this section or the Protection in the Workplace and Hazard Communication Program Appendix reveals sufficient information such that potentially exposed persons or their designated representatives can easily ascertain:

- A. That this Consent Order applies to the New Chemical Substance.
- B. The worker protections that this Consent Order requires (including any personal protective equipment or engineering or administrative control measures this Consent Order requires to protect workers from exposure to the New Chemical Substance).

Additionally, the company must provide an unredacted copy of the Order to any person whose request meets the requirements described in the OSHA Hazard Communication Standard at 29 CFR 1910.1200(i)(3). Any denial of a written request for an unredacted copy of the Order must meet the requirements described at 29 CFR 1910.1200(i)(7).

X. Risk Notification

If EPA finds or determines, that despite the Company's compliance with the terms of this Order, the New Chemical Substance may be contributing to an unreasonable risk or may present an unreasonable risk, or an additional unreasonable risk to human health or the environment:

- A. EPA will notify the Company, in writing, of its determination.
- B. The Company must cease all manufacturing, processing, distribution, use and disposal of the New Chemical Substance, unless:
  - 1. The Company complies with the specific actions in EPA's notification such as those concerning testing, hazard communication and/or limits on manufacturing, processing, distribution, use or disposal of the New Chemical Substance, and
  - 2. The Company incorporates any new risk information and information on methods for protecting against such risk, on the label and into the Safety Data Sheet (SDS), within 90 days, and immediately provides the updated SDS to all persons who receive or have received the New Chemical Substance within the last 5 years.

The Company may submit a written report, within 30 days of receipt of EPA's risk notification, refuting EPA's determination and/or the appropriateness of any additional requirements imposed by EPA.

- A. The Company's report must be submitted as a support document for the PMN according to the procedures in 40 C.F.R. § 720.40.
- B. EPA will respond promptly to the Company's report, in writing.
- C. The Company, upon receipt of EPA's response, must comply with any requirements imposed by EPA's response prior to restarting any manufacturing, processing, distribution in commerce, use and disposal of the New Chemical Substance.

XI. Recordkeeping

The Company must maintain records pursuant to the requirements in the Recordkeeping Appendix for 5 years (or longer if specified in this Order) after their creation date.

XII. Automatic Sunset of Test Market Exemption (“TME”), Low Volume Exemption (“LVE”), and Low Release and Exposure Exemption (“LoREX”)

The Company is prohibited from the manufacture, processing, distribution in commerce, use, or disposal of the New Chemical Substance pursuant to a TME under 40 C.F.R. § 720.38, or a LVE or LoREX under 40 C.F.R. § 723.50(c)(1) or (2), respectively, as of the effective date of this Order.

XIII. Exemptions

The requirements of the Order apply to manufacture, processing, distribution in commerce, use and/or disposal of the New Chemical Substance by the Company at any site under the Company’s control. The following exemptions do not apply to the New Chemical Substance:

- A. solely for export at 40 C.F.R § 720.30(e);
- B. impurity at § 720.30(h)(1); and
- C. byproduct at § 720.30(h)(2).

The requirements of the Order do not apply to manufacture, processing, distribution in commerce, use, and/or disposal of the New Chemical Substance by the Company at any site under the Company’s control for the following:

- A. small quantities manufactured, processed, used, or distributed in commerce solely for R&D in accordance with Section 5(h)(3) of TSCA, 15 U.S.C. § 2604(h)(3), as defined at 40 C.F.R. § 720.3(cc), and 40 C.F.R. § 720.36;
- B. when manufactured solely for non-commercial R&D in accordance with 40 C.F.R. § 720.30(i); or,

- C. when imported as part of an “article” as defined at 40 C.F.R. § 720.3(c) and in compliance with 40 C.F.R. § 720.22(b)(1).

Regardless of whether the Company meets any exemption expressly permitted by this section, the requirements found in the Recordkeeping Appendix continue to apply.

#### XIV. Requests for Information

This Order does not affect EPA’s ability to seek information regarding TSCA regulated chemicals, including the New Chemical Substance. In order to ensure continuing compliance with the terms of this Order, EPA may issue a request for information to the Company at any time after the effective date of this Order. Failure to respond to such a request shall be a violation of this Order.

#### XV. Successor Liability Upon Transfer of Order

The Company may transfer its interest in the New Chemical Substance, including its ability to manufacture the New Chemical Substance conferred by this Order, to a Successor in Interest pursuant to the requirements in the Successor Liability Upon Transfer of Order Appendix.

#### XVI. Modification and Revocation of the Order

The Company may request at any time, in writing and based upon new information, that EPA modify or revoke provisions of this Order.

EPA may modify or revoke provisions of this Order if EPA determines that specific requirements of this Order are no longer necessary to protect against a previously identified risk, or upon consideration of any information, new or existing, that the New Chemical Substance is not likely to present an unreasonable risk of injury to health or the environment.

EPA may, at any time, upon the receipt or evaluation of any information, new or existing, determine that the New Chemical Substance presents or may present an

unreasonable risk of injury to health or the environment, and may issue a rule to regulate the substance or modify this Order to address any risks.

XVII. Office of Management and Budget (OMB) Control Number

Under the Paperwork Reduction Act and its regulations at 5 C.F.R. part 1320, the Company is not required to respond to this collection of information unless this Order displays a currently valid control number from OMB. The collection of information required in this Order has been approved under the currently valid OMB Control Number 2070-0012.

XVIII. Reservation of Rights

Except as specifically provided in this Order, nothing in this Order shall limit EPA's authority to take, direct, or order any action necessary to protect public health, welfare, or the environment. This Order does not prevent EPA from seeking legal or equitable relief to enforce the terms of this Order, from taking other legal or equitable action it deems appropriate and necessary, or from requiring the Company in the future to perform additional activities pursuant to TSCA or any other applicable law.

EPA may use any information submitted under this Order in an administrative, civil judicial or criminal action.

XIX. Effective Date

This Order is effective upon expiration of the applicable review period.

XX. Potentially Useful Information

"Potentially useful information" (definition in Appendix 1) would assist in evaluating the potential effects caused by the New Chemical Substance.



<b>Potentially Useful Information</b>	
<b>Information</b>	<b>Effects</b>
e.g., Aquatic Toxicity	Environmental
e.g., Skin Irritation	Human Health

The Company is not required to submit the “Potentially useful information.”

NOTE: Any required testing and/or potentially useful information described in this Consent Order was based on EPA’s consideration of available screening-level data, if any, as well as other available information on appropriate testing for the New Chemical Substance. Further, any such testing/information identified by EPA that includes testing on vertebrates reflects the consideration of available toxicity information, computational toxicology and bioinformatics, and high-throughput screening methods and their prediction models. Pursuant to TSCA section 4(h), which pertains to reduction of testing in vertebrate animals, EPA encourages consultation with the Agency on the use of alternative test methods and strategies (also called New Approach Methodologies, or NAMs), if available, to generate the potentially useful information.

## Appendix 1: Definitions

“Chemical protective clothing” means items of clothing that provide a barrier to prevent dermal contact with chemical substances of concern (e.g., clothing that covers the entire body, boots, coveralls, gloves, jackets, and pants).

“Commercial” means the use of a chemical substance or a mixture containing the chemical substance in a commercial enterprise providing saleable goods or a service to consumers (e.g., a commercial dry-cleaning establishment or painting contractor).

“Consumer” means a private individual who uses a chemical substance or any product containing the chemical substance in or around a permanent or temporary household or residence, during recreation, or for any personal use or enjoyment.

“Consumer product” means a chemical substance that is directly, or as part of a mixture, sold or made available to consumers for their use in or around a permanent or temporary household or residence, in or around a school, in recreation, or for any personal use or enjoyment.

“Container” means any bag, barrel, bottle, box, can, cylinder, drum, reaction vessel, storage tank, or the like that contains a TSCA regulated chemical. For purposes of this Order, pipes or piping systems, and engines, fuel tanks, or other operating systems in a vehicle, are not considered to be containers.

“Contract Manufacturer” means a person, outside the Company, who is authorized to manufacture the New Chemical Substance under the conditions specified in an Appendix to the Order.

“Designated representative” means any individual or organization to whom a potentially exposed person gives written authorization to exercise a right of access. A recognized or certified collective bargaining agent shall be treated automatically as a designated representative without regard to written authorization.

“Enclosed process” means a system of equipment directly connected to the manufacturing, processing, or use operation where the chemical substance is present, which is designed, constructed, and operated in a manner that prevents emissions, or the release of any chemical substance within the enclosed process into the facility or environment during the production process. Such emissions, including fugitive emissions, could lead to exposures to workers, the public, or the environment. For an enclosed process, exposure and release could only occur due to loss of integrity or failure of the manufacturing process equipment or control systems.

“Equivocal data” means data which, although developed in apparent conformity with the Good Laboratory Practice Standards and EPA-reviewed protocols, are inconclusive, internally inconsistent, or otherwise insufficient to support a reasoned evaluation of the potential risk of injury to human health or the environment of the New Chemical Substance.

“Immediate use” means a use of a chemical substance that is under the control of, and used only by, a person who transferred it from a labeled container and will only be used by that person within the work shift in which it is transferred from the labeled container.

“Intermediate” means any chemical substance that is consumed, in whole or in part, in chemical reactions used for the intentional manufacture of another chemical substance(s) or mixture(s), or that is intentionally present for the purpose of altering the rates of such chemical reactions.

“Manufacture” means to produce or manufacture in the United States or import into the customs territory of the United States. This definition also applies to related noun and verb forms of “manufacture.”

“New Chemical Substance” means the chemical substance described in the premanufacture notice submitted by the Company relevant to this Order

“NIOSH” means the National Institute for Occupational Safety and Health of the U.S. Department of Health and Human Services.

“Open process” is any method of manufacture using equipment (such as a reactor, storage tank, or mixing vessel) causing the new chemical substance to be direct contact with the atmosphere.

“Personal protective equipment” means any protective clothing or device placed on the body to prevent contact with, and exposure to, an identified chemical substance or substances in the work area. Examples include, but are not limited to, clothing, aprons, hoods, chemical goggles, face splash shields, or equivalent eye protection, and respirators. Barrier creams are not included in this definition.

“Potentially exposed person” means any person, including but not limited to workers and contractors, who may be exposed to the new chemical substance in a workplace as a result of a condition of use of that chemical substance.

“Potentially useful information” means data, or independent studies that may help EPA better characterize the potential risks associated with the restrictions on manufacturing, processing, use, distribution in commerce, and disposal detailed in this Consent Order. Potentially useful information can be submitted to EPA as part of a request to modify or rescind restrictions to this Order and can be submitted using alternative test methods and strategies to generate data to inform risk assessment. EPA encourages dialogue with Agency representatives to help determine how best the submitter can meet both the data needs and the objective of TSCA section 4(h).

“Results in inhalation exposure” means any manufacturing, processing, use or disposal activity/operation that generates a dust, mist, vapor, or aerosol to which a potentially exposed person is reasonably likely to be exposed.

“Scientifically invalid” means departing in any significant way from the EPA-reviewed protocol or the Good Laboratory Practice Standards at 40 C.F.R. part 792 such that the data do not support a reasoned evaluation of the health or environmental effects of the New Chemical Substance.

“SDS” means safety data sheet, the written listing of data for the chemical substance.

“Sealed” means a closed container that is physically and chemically suitable for long-term containment of the New Chemical Substance, and from which there will be no human exposure to, nor environmental release of, the New Chemical Substance during transport and storage.

“Site-limited intermediate” means an intermediate manufactured, processed, and used only within a site and not distributed in commerce other than as an impurity or for disposal.

Imported intermediates cannot be “site-limited.”

“Successor in Interest” means a person outside the Company who has acquired the Company’s full interest in the rights to manufacture the New Chemical Substance, including all ownership rights and legal liabilities, through a Transfer Document signed by the Company, as transferor, and the Successor in Interest, as transferee. The term excludes persons who acquire less than the full interest of the Company in the New Chemical Substance, such as a licensee who has acquired a limited license to the patent or manufacturing rights associated with the New Chemical Substance. A Successor in Interest must be incorporated, licensed, or doing business in the United States in accordance with 40 C.F.R. § 720.22(a)(3) and 40 C.F.R. § 720.3(z).

“Transfer Document” means the legal instrument(s) used to convey the interests in the New Chemical Substance, including the right to manufacture the New Chemical Substance, from the Company to the Successor in Interest.

“Work area” means a room or defined space in a workplace where the New Chemical Substance is manufactured, processed, or used and where employees or contractors are present.

“Workplace” means an establishment at one geographic location containing one or more work areas.

## Appendix 2: Basis for EPA’s Determination

### Chemical Name:

Specific: **copy and paste from chemistry report in NCR and include CASRN if available, remember to put in brackets if claimed CBI**

Generic: **copy and paste from chemistry report**

### Conditions of Use (intended, known, or reasonably foreseen)<sup>1</sup>:

Intended conditions of use (specific): Manufacture (if import-only, change to ‘Import’) and process for use as, and use as, a **copy and paste specific use from PMN form and remember to use brackets if CBI**, consistent with the manufacturing, processing, use, distribution, and disposal information described in the PMN.

Intended conditions of use (generic): Manufacture (if import-only, change to ‘Import’) and process for use as, and use as, a **copy and paste generic use from PMN form**, consistent with the manufacturing, processing, use, distribution, and disposal information described in the PMN.

Known conditions of use: Applying such factors as described in footnote 1, EPA evaluated whether there are known conditions of use and identified **none**.

Reasonably foreseen conditions of use: Applying such factors as described in footnote 1, EPA evaluated whether there are reasonably foreseen conditions of use and identified **XXX based on XXX (or none)**.

EPA has determined that **the information available to the Agency is insufficient to permit a reasoned evaluation of the health and environmental effects of the New Chemical Substance**

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<sup>1</sup> Under TSCA § 3(4), the term “conditions of use” means “the circumstances, as determined by the Administrator, under which a chemical substance is intended, known, or reasonably foreseen to be manufactured, processed, distributed in commerce, used, or disposed of.” In general, EPA considers the intended conditions of use of a new chemical substance to be those identified in the section 5(a) notification. Known conditions of use include any condition of use of a chemical substance that EPA believes is ongoing in the United States at the time of submission of the notification, as well as activities within the United States that result from manufacture that is exempt from PMN submission requirements. Reasonably foreseen conditions of use are future circumstances, distinct from known or intended conditions of use, under which the chemical substance may be manufactured, processed, distributed, used, or disposed of. EPA expects that the identification of “reasonably foreseen” conditions of use will be made on a fact-specific, case-by-case basis. EPA will apply its professional judgment and experience when considering factors such as evidence of current use of the new chemical substance outside the United States, information about known or intended uses of chemical substances that are structurally analogous to the new chemical substance, and conditions of use identified in an initial PMN submission that the submitter omits in a revised PMN. The sources EPA uses to identify reasonably foreseen conditions of use include searches of internal confidential EPA PMN databases (containing use information on analogue chemicals), other U.S. government public sources, the National Library of Medicine’s Hazardous Substances Data Bank (HSDB), the Chemical Abstract Service STN Platform, REACH Dossiers, technical encyclopedias (e.g., Kirk-Othmer and Ullmann), and Internet searches.

(P-XX-XXXX) **OR** in the absence of sufficient information to permit the Agency to make a reasoned evaluation of the health and environmental effects of the manufacture, processing, distribution in commerce, use, or disposal of the New Chemical Substance (P-XX-XXXX), the New Chemical Substance may present an unreasonable risk of injury to health or the environment **OR** that the New Chemical Substance (P-XX-XXXX) is or will be produced in substantial quantities and that the New Chemical Substance either enters or may reasonably be anticipated to enter the environment in substantial quantities or there is or may be significant or substantial human exposure) without consideration of costs or other non-risk factors, (including an unreasonable risk to a potentially exposed or susceptible subpopulation identified as relevant by the Administrator) under the conditions of use, based on the risk assessment summarized below.

I. Human Health Hazard Summary<sup>2</sup>

Human health hazard is relevant to whether a new chemical substance is likely to present an unreasonable risk because the significance of the risk is dependent upon both the hazard (or toxicity) of the chemical substance and the extent of exposure to the substance. **Paste the hazard summary from section 1.1 of the health RA here, starting with “EPA estimated the human health hazard of... “**

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<sup>2</sup> A chemical substance is considered to have low human health hazard if effects are observed in animal studies with a No Observed Adverse Effect Level (NOAEL) equal to or greater than 1,000 mg/kg/day or if there are equivalent data on analogous chemical substances; a chemical substance is considered to have moderate human health hazard if effects are observed in animal studies with a NOAEL less than 1,000 mg/kg/day or if there are equivalent data on analogous chemical substances; a chemical substance is considered to have high human health hazard if there is evidence of adverse effects in humans or conclusive evidence of severe effects in animal studies with a NOAEL of less than or equal to 10 mg/kg/day or if there are equivalent data on analogous chemical substances. EPA may also use Benchmark Dose Levels (BMDL) derived from benchmark dose (BMD) modeling as points of departure for toxic effects. See <https://www.epa.gov/bmds/what-benchmark-dose-software-bmds>. Using this approach, a BMDL is associated with a benchmark response, for example a 5 or 10 % incidence of effect. The aforementioned characterizations of hazard (low, medium, high) would also apply to BMDLs. In the absence of animal data on a chemical or analogous chemical substance, EPA may use other data or information such as from in vitro assays, chemical categories (e.g., Organization for Economic Co-operation and Development, 2014 Guidance on Grouping of Chemicals, Second Edition. ENV/JM/MONO(2014)4. Series on Testing & Assessment No. 194. Environment Directorate, Organization for Economic Co-operation and Development, Paris, France. ([http://www.oecd.org/officialdocuments/publicdisplaydocumentpdf/?cote=env/jm/mono\(2014\)4&doclanguage=en](http://www.oecd.org/officialdocuments/publicdisplaydocumentpdf/?cote=env/jm/mono(2014)4&doclanguage=en))), structure-activity relationships, and/or structural alerts to support characterizing human health hazards.

## II. Environmental Hazard Summary<sup>3</sup>

Environmental hazard is relevant to whether a new chemical substance is likely to present unreasonable risk because the significance of the risk is dependent upon both the hazard (or toxicity) of the chemical substance and the extent of exposure to the substance. **Paste from the eco report, ecotox factors section, ecotox haz factors comments box, starting with “EPA estimated environmental hazard of...”**

## III. Fate Summary

### Environmental Fate

Environmental fate is the determination of which environmental compartment(s) a chemical moves to, the expected residence time in the environmental compartment(s) and removal and degradation processes. Environmental fate is an important factor in determining exposure and thus in determining whether a chemical may present an unreasonable risk. **Paste from the Fate paragraph in the Fate report here, starting with “EPA estimated physical/chemical and fate properties ...”**

### Persistence<sup>4</sup>

Persistence is relevant to whether a new chemical substance is likely to present an unreasonable risk because chemicals that are not degraded in the environment at rates

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<sup>3</sup> A chemical substance is considered to have low ecotoxicity hazard if the Fish, Daphnid and Algae LC50 values are greater than 100 mg/L, or if the Fish and Daphnid chronic values (ChVs) are greater than 10.0 mg/L, or there are not effects at saturation (occurs when water solubility of a chemical substance is lower than an effect concentration), or the log Kow value exceeds QSAR cut-offs. A chemical substance is considered to have moderate ecotoxicity hazard if the lowest of the Fish, Daphnid or Algae LC50s is greater than 1 mg/L and less than 100 mg/L, or where the Fish or Daphnid ChVs are greater than 0.1 mg/L and less than 10.0 mg/L. A chemical substance is considered to have high ecotoxicity hazard, or if either the Fish, Daphnid or Algae LC50s are less than 1 mg/L, or any Fish or Daphnid ChVs is less than 0.1 mg/L (Sustainable Futures <https://www.epa.gov/sustainable-futures/sustainable-futures-p2-framework-manual>).

<sup>4</sup> Persistence: A chemical substance is considered to have limited persistence if it has a half-life in water, soil, or sediment of less than 2 months or if there are equivalent or analogous data. A chemical substance is considered to be persistent if it has a half-life in water, soil, or sediments of greater than 2 months but less than or equal to 6 months or if there are equivalent or analogous data. A chemical substance is considered to be very persistent if it has a half-life in water, soil, or sediments of greater than 6 months or if there are equivalent or analogous data. (64 FR 60194; November 4, 1999)



that prevent substantial buildup in the environment, and thus increase potential for exposure, may present a risk if the substance presents a hazard to human health or the environment. **Paste from the Persistence paragraph in the Fate report here, starting with “EPA estimated degradation half-lives...”**

#### Bioaccumulation<sup>5</sup>

Bioaccumulation is relevant to whether a new chemical substance is likely to present an unreasonable risk because substances that bioaccumulate in aquatic and/or terrestrial species pose the potential for elevated exposures to humans and other organisms via food chains. **Paste from the Bioaccumulation paragraph in the Fate report here, starting with “EPA estimated the potential for...”**

**Persistent, Bioaccumulative, and Toxic (if applicable, add “The New Chemical Substance or its hydrolysis product/incineration product is potentially a persistent, bioaccumulative, and toxic (PBT) chemical, as described in the New Chemicals Program’s PBT category (64 FR 60194, November 4, 1999); [#### IV. Exposure and Risk Summary](http://www.epa.gov/reviewing-new-chemicals-under-toxic-substances-control-act-tsca/policy-statement-new-chemicals.”</a>)</b></p></div><div data-bbox=)**

EPA estimates occupational exposure and environmental release under the intended conditions of use described in the PMN using ChemSTEER (Chemical Screening Tool for Exposures and Environmental Releases; <https://www.epa.gov/tsca-screening-tools/chemsteer-chemical-screening-tool-exposures-and-environmental-releases>). EPA uses EFAST (the Exposure and Fate Assessment Screening Tool; <https://www.epa.gov/tsca-screening-tools/e-fast-exposure-and-fate-assessment->

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<sup>5</sup> Bioaccumulation: A chemical substance is considered to have a low potential for bioaccumulation if there are bioconcentration factors (BCF) or bioaccumulation factors (BAF) of less than 1,000 or if there are equivalent or analogous data. A chemical substance is considered to be bioaccumulative if there are BCFs or BAFs of 1,000 or greater and less than 5,000 or there are equivalent or analogous data. A chemical substance is considered to be very bioaccumulative if there are BCFs or BAFs of 5,000 or greater or if there are equivalent or analogous data. (64 FR 60194; November 4, 1999)

[screening-tool-version-2014](#)) to estimate general population, consumer, and environmental exposures.

EPA considers workers to be a potentially exposed or susceptible subpopulation (PESS) on the basis of greater exposure potential compared to the general population. EPA also considers PESS in conducting general population drinking water exposures by evaluating risks associated with water intake rates for multiple age groups, ranging from infants to adults. EPA considers consumers of specific products to be a potentially exposed or susceptible subpopulation on the basis of greater exposure potential compared to the general population who do not use specific products.

Paste the release and exposure summary paragraph from section 1.2 of health RA here, starting with “For this assessment,…”

#### A. Risks to Human Health

EPA applies a margin of exposure approach to calculate potential human health risks of new chemicals. A benchmark (acceptable) margin of exposure (MOE) is derived by applying uncertainty factors for the following types of extrapolations: intra-species extrapolation (UFH = 10 to account for variation in sensitivity among the human population), inter-species extrapolation (UFA = 10 to account for extrapolating from experimental animals to humans) and LOAEL-to-NOAEL extrapolation (UFL = 10 to account for using a LOAEL when a NOAEL is not available). Hence, in the New Chemicals Program, a benchmark MOE is typically 100 and 1,000 when NOAELs and LOAELs, respectively, are used to identify hazard. When allometric scaling or pharmacokinetic modeling is used to derive an effect level, the UFH may be reduced to 3, for a benchmark MOE of 30. The benchmark MOE is used to compare to the MOE calculated by comparing the toxicity NOAEL or LOAEL to the estimated exposure concentrations. When the calculated MOE is equal to or exceeds the benchmark MOE, the new chemical substance is not likely to present an unreasonable risk. EPA assesses risks to workers considering engineering controls described in the PMN but in the absence of personal protective equipment (PPE)

such as gloves and respirators. If risks are identified, EPA then considers in risk management whether the risks would be mitigated by the use of PPE (e.g., impervious gloves, respirator).

#### Risks to Workers

Paste worker risk statements from section 1.2.1 of health RA here.

#### Risks to the General Population

Paste gen pop risk statements from section 1.2.2 of health RA here.

#### Risks to Consumers

Paste consumer risk statements from section 1.2.3 of health RA here.

### B. Risks to the Environment

Paste environmental risk statements from eco report → ecotox factors section → ecotox risk factor comments.

## Appendix 3: Testing Provisions

### I. Notice of Test Scheduling

A. The Company must notify EPA's Monitoring Assistance and Media Programs Division, in writing, of the following information within 10 days of scheduling any study required by the order or within 15 days after the effective date of this Order, whichever is later:

1. The date when the study is scheduled to commence;
2. The name and address of the laboratory conducting the study;
3. The name and contact information (telephone number, email) of a person at the Company or laboratory whom EPA may contact regarding the study; and,
4. The PMN identification number for each substance and a statement that the substance is subject to this Order.

B. The written notice should be submitted to EPA/OECA as follows:

#### Postal Mail Address

U.S. Environmental  
U.S. Environmental Protection Agency  
GLP Section Chief – Pesticides, Wastes and Toxics Branch  
Monitoring Assistance and Media Programs Division (2227A)  
Office of Enforcement and Compliance Assurance  
1200 Pennsylvania Avenue, N.W.  
Washington, DC 20460

#### Courier Delivery Address

U.S. Environmental Protection Agency  
GLP Section Chief – Pesticides, Wastes and Toxics Branch  
Monitoring Assistance and Media Programs Division (2227A)  
Office of Enforcement and Compliance Assurance  
Room 7117B  
1200 Pennsylvania Avenue, N.W.  
Washington, DC 20004

- C. Concurrently, the Company must submit a copy of the information as a support document, using the procedures set out in 40 C.F.R. § 720.40.
- II. Good Laboratory Practice Standards
- Each test performed pursuant to this Order must be conducted according to TSCA Good Laboratory Practice Standards at 40 C.F.R. part 792.
- III. Modified Test Protocols
- A. Prior to initiating any test that will use a modified version of a test protocol, the Company must first submit the test protocols to EPA and receive EPA's approval.
  - B. Test protocols must be submitted as a support document, using the procedures set out in 40 C.F.R. § 720.40.
  - C. EPA's acceptance of a test protocol does not constitute pre-acceptance of any future test results.
- IV. Submission of Test Reports and Underlying Data.
- A. The Company must submit the final report (public and Confidential Business Information (CBI) versions, if applicable) and all underlying data, within 90 days of the conclusion of the test.
  - B. The final report must contain the contents specified in 40 C.F.R. § 792.185.
- V. Raw Data
- A. EPA may, at its discretion, require the Company to submit raw data, such as slides and laboratory notebooks.
  - B. The Company must provide raw data to EPA within 30 days of EPA's initial request for such data.
- VI. Interim Results
- A. EPA may require the Company to submit the results of an interim phase of a test.

- B. The Company must provide interim results to EPA within 30 days of EPA's initial request for such results.

VII. Submission of Information

All test information must be submitted as a support document for the PMN, using the procedures set out in 40 C.F.R. § 720.40.

VIII. Effect of Equivocal Results

- A. If EPA determines the results are scientifically equivocal, the testing requirements, test protocols or Manufacturing Limit may be modified, by an amendment to this Order.
- B. The Company may be allowed to continue to manufacture the New Chemical Substance if EPA notifies the Company in writing.

IX. Determination of Invalid Data

- A. If the Company finds that data is scientifically invalid, the Company must:
  - 1. Notify EPA, in writing, within 2 weeks of first finding or becoming aware that data generated by a test is scientifically invalid.
  - 2. Explain in detail, the circumstances which have caused, or will cause, development of scientifically invalid data within 2 weeks of providing the notice of invalid data to EPA.
  - 3. Cease manufacture of the New Chemical Substance beyond the Manufacturing or Time Limit. The Company may continue manufacture of the New Chemical Substance beyond the Manufacturing or Time Limit if EPA notifies the Company in writing.
- B. If EPA finds that data is scientifically invalid, EPA:
  - 1. Will notify the Company, in writing.
  - 2. May modify the testing requirements, test protocols or Manufacturing or Time Limit, by an amendment to this Order.

3. May require the Company to reconduct the testing and submit the final report and underlying data.
4. May allow the Company to manufacture the New Chemical Substance beyond the Manufacturing Limit or Time Limit if EPA notifies the Company in writing.

C. Refuting EPA's Determination:

1. The Company may refute EPA's determination of invalid data by submitting a written report to EPA.
2. The report must be submitted within 4 weeks of receiving the determination of invalid data from EPA.

## Appendix 4: Termination of Certain Obligations through Significant New Use Rule (SNUR) and SNUR Notification Requirements

### I. Termination of Certain Obligations Through a SNUR

The Limit on Manufacture by Others, the Written Agreement and Recipient Non-Compliance paragraphs of the Terms of Distribution section, and the Temporary Transport and Storage sections of this Order expire 75 days after publication of a final SNUR corresponding to this Order under Sections 15 U.S.C. §§ 2604(a)(2) and 2604(f)(4) of TSCA, unless the Company is notified by EPA of an action in a Federal Court seeking judicial review of the SNUR. The Prohibition will remain in effect until EPA notifies the Company that all Federal Court Actions have been resolved and the validity of the SNUR has been affirmed.

### II. Final SNUR Required Notification

- A. Whenever the Company causes, encourages, or suggests the manufacture, processing, use or distribution of the New Chemical Substance, the Company must notify that person in writing of the existence of the final SNUR.
- B. The required notification must be in writing; reference the publication in the Federal Register or Code of Federal Regulations; and must specify all significant new uses under the SNUR that would require significant new use notice to EPA.
- C. The written notification must be maintained for 5 years from the date of its creation.



## Appendix 5: Contract Manufacturers

### I. Contract Manufacturer Limitation

- A. The Company may request in writing to EPA that the Order be modified to include additional Contract Manufacturers.

### II. Manufacture Solely for the Company

- A. The Contract Manufacturer must be under contract to manufacture the New Chemical Substance solely for the Company.
- B. The contract must specify the identity of the New Chemical Substance, the total quantity to be manufactured, and the basic technology to be used for manufacturing.
- C. The Company must submit to EPA, the name, address, and telephone number of the responsible official of the Contract Manufacturer(s).

### III. Signed Order

- A. EPA will prepare and transmit an Order for each Contract Manufacturer (“Order for Contract Manufacturer”) following the terms agreed to by the Company in this Order.
- B. The Contract Manufacturer must sign and return the Order for Contract Manufacturer to EPA prior to commencing the manufacture of the New Chemical Substance.

### IV. Contract Manufacturer Noncompliance

If the Company learns that a Contract Manufacturer has failed to comply with any provisions in the Order for Contract Manufacturer, the Company must immediately cease manufacture of the New Chemical Substance unless:

- A. The Contract Manufacturer is in compliance with a SNUR for the New Chemical Substance, or

B. The Company:

1. Notified the Contract Manufacturer, within 5 working days, in writing that the Contract Manufacturer has failed to comply with the Order for Contract Manufacturer, and
2. Received from the Contract Manufacturer, within 15 working days a statement of assurance that the Contract Manufacturer is aware of the terms of the Order for Contract Manufacturer and will comply with those terms.

C. If, after receiving a statement of assurance, the Company obtains knowledge that the Contract Manufacturer has failed to comply with the provisions in the Order for Contract Manufacturer, the Company must:

1. Immediately cease the manufacturing at the Contract Manufacturer facility,
2. Notify EPA of the noncompliance, and
3. Prevent the manufacture of the New Chemical Substance by the Contract Manufacturer until the Company has received written notification from EPA.

## Appendix 6: Protection in the Workplace and Hazard Communication Program

The Company is prohibited from manufacturing, processing, using, distributing, or disposing of the New Chemical Substance without establishing and implementing the following:

### I. Engineering and Administrative Controls

The Company must implement engineering control measures (e.g., enclosure or confinement of the operation(s), general and local ventilation) or administrative control measures (e.g., workplace policies and procedures), where feasible, to prevent exposure to the New Chemical Substance.

### II. Respiratory Protection

#### A. Respirators

1. The Company must ensure that each person reasonably likely to be exposed via inhalation to the New Chemical Substance is provided with, and is required to wear, a National Institute for Occupational Safety and Health (NIOSH)-certified respirator with an Assigned Protection Factor (APF) of at least **insert APF**.
2. All respirators must be issued, used, and maintained according to an appropriate respiratory protection program in accordance with OSHA and NIOSH respiratory protection requirements in 29 C.F.R. § 1910.134 and 42 C.F.R. part 84.

#### B. New Chemical Exposure Limit (NCEL)

1. As an alternative to the respirator requirements listed in Section II.A of this Appendix, the Company may comply with the requirements of this New Chemical Exposure Limit Section.
2. Before the Company may deviate from the respirator requirements, however, the Company must:
  - a. Submit the sampling and analytical method for the New Chemical Substance, verified in accordance with the Performance-Criteria for Sampling and

Analytical Method Appendix, as a support document for the PMN, using the procedures set out in 40 C.F.R. § 720.40.

- b. Obtain exposure monitoring results in accordance with the Monitoring Potential Exposure Appendix.
  - c. Select, provide, and ensure use of the appropriate respiratory protection, based on the exposure monitoring results.
  - d. Submit the exposure monitoring results and selection criteria for respiratory protection as a support document for the PMN, using the procedures set out in 40 C.F.R. § 720.40.
3. NCEL Determination
- a. The NCEL for the New Chemical Substance is an interim level based on the limited information available to EPA at the time of development of this Order. The NCEL for the New Chemical Substance is as follows:
    - i. Time-Weighted Average (“TWA”) Limit.

The Company must ensure that no person is exposed to an airborne concentration of the New Chemical Substance in excess of **insert limit** (the NCEL) as an 8-hour time-weighted average, without using a respirator in accordance with the NCEL Respiratory Protection Section of this Appendix.
    - ii. Non-8-Hour Work-shifts.

For non-8-hour work-shifts, the NCEL for that work-shift (NCEL<sub>n</sub>) must be determined by the following equation:  $NCEL_n = NCEL \times (8/n) \times [(24-n)/16]$ , where n = the number of hours in the actual work-shift.
    - iii. Short-Term Exposure Limit (“STEL”).

The Company must ensure that no person is exposed to an airborne concentration of the New Chemical Substance in excess of **insert limit** (the short-term exposure limit) as averaged over any 15-minute period, without using a respirator in accordance with this New Chemical Exposure Limit Section.
  - b. Automatic Sunset.

If, subsequent to the effective date of this Order, OSHA promulgates, pursuant to Section 6 of the Occupational Safety and Health Act, 29 U.S.C. part 655, a final chemical-specific permissible exposure limit (PEL) applicable to this New Chemical Substance and the OSHA PEL is not challenged in court within 60 days of its promulgation, then any respirator requirements in the Protection in the Workplace section of this Order and any requirements of this New Chemical Exposure Limit section applicable to workers and situations subject to the OSHA PEL will automatically become null and void. The requirements of this Order, however, are not negated by any pre-existing OSHA PEL applicable to the New Chemical Substance.

#### 4. NCEL Respiratory Protection

##### a. Selection of Appropriate Respiratory Protection

The required respiratory protection is based on the airborne concentration measured during the exposure monitoring. Refer to the NCELS Respirator Tables Appendix.

**Edit the table in the NCELS Respirator Tables Appendix as appropriate**

##### b. Reductions in Respiratory Protection

- i. Before the Company may make any reduction in any respiratory protection pursuant to this New Chemical Exposure Limit Section, the Company must verify, by 2 consecutive measurements taken at least 7 days apart, that the new respiratory protection is appropriate in accordance with this Appendix.
- ii. If the New Chemical Substance is manufactured, processed, or used in batches of duration less than 7 days, the 2 consecutive measurements must be taken at least 24 hours apart. The measurements must accurately reflect the highest peak and variability in exposures.

##### c. Special Situations

- i. Measurements Outside Quantitation Limits.

When a value less than the lower quantitation limit (LQL) of the analytical method (as described in the Performance-Criteria for Sampling and Analytical Method Appendix) is obtained, the Company must estimate potential exposure using generally established and accepted statistical methods. If the Company obtains an exposure monitoring sample that is more than 10% above the upper quantitation limit (UQL) of the analytical method, the Company must ensure that its workers wear at least a NIOSH-certified supplied-air respirator operated in pressure demand or other positive pressure mode and equipped with a tight-fitting full facepiece. Any reductions in respiratory protection must comply with the requirements of this Appendix.

ii. Cleanup and Remedial Actions.

During any special cleanup or other remedial actions that may occur before commencing additional exposure monitoring, the Company must ensure that potentially exposed persons use at least the respiratory protection for the measured airborne concentration, or more protective respiratory equipment deemed appropriate by the best professional judgment of a qualified expert.

d. NCEL Recordkeeping

Whenever the Company elects to comply with this New Chemical Exposure Limit Section, the Company must maintain the records according to the requirements set forth in the NCEL Recordkeeping Appendix for 30 years after the date they are created, and the Company must make them available for inspection and copying by EPA in accordance with Section 11 of TSCA, 15 U.S.C. § 2610.

III. Dermal Personal Protective Equipment

- A. The Company must ensure that each person reasonably likely to be dermally exposed through direct handling or contact with equipment or surfaces containing

or contaminated with the New Chemical Substance is provided with, and is required to wear, personal protective equipment (PPE) that provides a barrier to prevent dermal exposure.

- B. PPE must be selected and used in accordance with the Occupational Safety and Health Administration (OSHA)'s requirements at 29 C.F.R. §§ 1910.132, 1910.133, and 1910.138.
- C. Gloves must be replaced at the end of each work shift during which they are exposed to the New Chemical Substance. If permeation testing was used to establish impermeability, gloves may not be used for longer than for which they were tested.
- D. Demonstration of Imperviousness

The Company must demonstrate that the PPE selected provides an impervious barrier to prevent dermal exposure during expected duration and conditions of exposure. The Company may make this demonstration by any one or a combination of the following:

1. Permeation Testing

PPE must be tested alone and in combination with other chemical substances in the work area under the expected conditions of exposure. Permeation testing should be conducted according to the American Society for Testing and Materials (ASTM) F739 "Standard Test Method for Permeation of Liquids and Gases through Protective Clothing Materials under Conditions of Continuous Contact." Results must be reported as the cumulative permeation rate as a function of time and documented in accordance with ASTM F739 using the format specified in ASTM F1194-99 (2010) "Standard Guide for Documenting the Results of Chemical Permeation Testing of Materials Used in Protective Clothing Materials."

2. Manufacturer Specifications

Manufacturer specifications may be used to establish that the PPE is impervious to the New Chemical Substance, alone and in combination with other chemical substances in the work area under the expected conditions of exposure.

#### IV. Written Hazard Communication Program

The Company must develop and implement a written hazard communication program for the New Chemical Substance in each workplace in accordance with this Appendix and the requirements in the OSHA Hazard Communication Standard (29 C.F.R. § 1910.1200). The written program must, at a minimum, describe how the Company will satisfy the requirements of this Appendix for labels, Safety Data Sheets (SDS), other forms of warning material, and for employee and contractor information and training.

The Company must keep a copy of the written hazard communication program in a clearly visible and readily accessible location and in multiple languages as appropriate (e.g., based on languages spoken by potentially exposed persons) in the workplace and must also provide it, upon request, to all potentially exposed persons and their designated representatives. The Company may rely on an existing hazard communication program, including an existing program established under 29 C.F.R. § 1910.1200, to comply with this requirement provided that the existing hazard communication program satisfies the requirements of this Appendix and 29 C.F.R. § 1910.1200. The written program must include the following:

- A. A list of chemical substances known to be present in the work area which are subject to an Order issued under § 5 of TSCA to the Company, or to a significant new use rule (SNUR) issued under § 5(a)(2) of TSCA and 40 C.F.R. part 721, subpart E. The Company must keep the list in each work area where the New Chemical Substance is known to be present and must use the identity provided on the SDS for the New Chemical Substance required by this Appendix. The list may be compiled for the workplace or for individual work areas. If the Company is required either by another Order issued under § 5 of TSCA, or by a SNUR issued under TSCA § 5(a)(2) and 40 C.F.R. part 721, subpart E, to maintain a list of substances, the lists must be combined with the list under this paragraph.
- B. The methods the Company will use to inform employees and contractors of the hazards of non-routine tasks involving the New Chemical Substance (e.g., the



cleaning of reactor vessels), and the hazards associated with the New Chemical Substance contained in unlabeled pipes in their work area.

- C. The methods the Company will use to inform contractors of the presence of the New Chemical Substance in the Company's workplace and of the provisions of this Consent Order if the contractor or employees of the contractor work in the Company's workplace and are reasonably likely to be exposed to the New Chemical Substance while in the Company's workplace.

#### V. Labeling

The Company must ensure that each container of the New Chemical Substance in the workplace is labeled in accordance with both this Appendix and 29 C.F.R. § 1910.1200. The Company must ensure that each container of the New Chemical Substance in the workplace includes on its label the potential human health and environmental hazards specified in this Appendix and the identity by which the New Chemical substance may be commonly recognized.

If the label or other form of warning is to be applied to a mixture containing the New Chemical Substance in combination with any other substance that is either subject to another Order issued under § 5 of TSCA to the Company, SNUR issued under § 5(a)(2) of TSCA and 40 C.F.R. pt. 721, subpart E, or defined as a "hazardous chemical" under the OSHA Hazard Communication Standard (29 C.F.R. § 1910.1200), the Company may prescribe on the label, SDS, or other form of warning, the measures to control worker exposure or environmental release which the Company determines provide the greatest degree of protection. However, should these control measures differ from the applicable measures required under this Consent Order, the Company must seek a determination of equivalency for such alternative control measures pursuant to 40 C.F.R. § 721.30 before prescribing them under this Labeling section.

If the Company becomes aware of any significant new information regarding the hazards of the New Chemical Substance or ways to protect against the hazards, this new

information must be added to the label within 3 months from the time the Company becomes aware of the new information. If the New Chemical Substance is not being manufactured (defined by statute to include import), processed, or used in the Company's workplace, the Company must add the new information to the label before the New Chemical Substance is reintroduced into the workplace.

#### VI. Safety Data Sheets

The Company must obtain or develop a Safety Data Sheet (SDS) for the New Chemical Substance in accordance with both this Appendix and 29 C.F.R. § 1910.1200. The Company must ensure that the SDS contains:

- The identity used on the container label of the New Chemical Substance required by this Appendix, and if not claimed confidential, the specific chemical name and common name of the New Chemical Substance; if the specific chemical name and common names are claimed confidential, a generic chemical name must be used.
- The potential human health and environmental hazards specified in this Appendix.
- Precautionary measures to control worker exposure and/or environmental release required by this Consent Order, or alternative control measures which EPA has determined under 40 C.F.R. § 721.30 provide substantially the same degree of protection as the identified control measures.
- The date of preparation of the SDS or of its last revision.
- The name, address, and telephone number of the Company or another responsible party who can provide additional information on the New Chemical Substance and any appropriate emergency procedures.

Where multiple mixtures containing the New Chemical Substance have similar compositions (i.e., the chemical ingredients are essentially the same, but the specific

composition varies from mixture to mixture) and similar hazards, the Company may prepare one SDS to apply to all of these multiple mixtures.

If the Company becomes aware of any significant new information regarding the hazards of the New Chemical substance or ways to protect against the hazards, this new information must be added to the SDS within 3 months from the time the Company becomes aware of the new information. If the New Chemical Substance is not being manufactured (defined by statute to include import), processed, or used in the Company's workplace, the Company must add the new information to the SDS before the New Chemical Substance is reintroduced into the workplace.

The Company must ensure that persons receiving the New Chemical Substance from the Company are provided an appropriate SDS with their initial shipment and with the first shipment after an SDS is revised. The Company may either provide the SDS with the shipped containers or send it to the person prior to or at the time of shipment.

The Company must maintain a copy of the SDS in a clearly visible location in its workplace and must ensure that it is readily accessible and in multiple languages as appropriate (e.g., based on languages spoken by potentially exposed persons) during each work shift to employees and contractors when they are in their work areas.

#### VII. Employee and Contractor Information and Training

The Company must ensure that employees and contractors are provided with information and training on the New Chemical Substance in accordance with both this Appendix and 29 C.F.R. § 1910.1200. The information provided to employees must include the location and availability of the written hazard communication program including the list of substances required by this Appendix.

The training provided to employees and contractors must include:

- The potential human health and environmental hazards of the New Chemical Substance as specified in this Appendix.

- The measures employees and contractors can take to protect themselves and the environment from the New Chemical Substance, including specific procedures the Company has implemented to protect employees and the environment from exposure to the New Chemical Substance, including appropriate work practices, emergency procedures, personal protective equipment, engineering controls, and other measures to control worker exposure and/or environmental release required under this Consent Order.

#### VIII. Required Human Health and Environmental Hazard Statements

The following human health and environmental hazard statements must appear on each label and SDS:

##### A. Human Health Hazard

**EXAMPLES: Skin irritation, Eye irritation, Specific Target Organ Toxicity**

##### B. Environmental Hazard

**EXAMPLE: Toxic to Aquatic Life**

## Appendix 7: Recordkeeping

The Company shall maintain the following records for 5 years after the date they are created (or longer if required in this Order) and must produce them for inspection, copying or as otherwise required under Section 11 of TSCA, 15 U.S.C. § 2610:

I. Manufacturing Volume

Records documenting the manufacturing volume (including import) of the New Chemical Substance and the corresponding dates of manufacture (import).

II. Sites of Manufacture

Records documenting the address of all sites of manufacture, import, processing, and use.

III. Sales and Transfers

Records documenting the date of all sales or transfers, the quantity of the New Chemical Substance sold or transferred, and the names and addresses (including shipping address, if different) outside the site of manufacture to whom the Company directly sells or transfers the New Chemical Substance.

IV. Protection in the Workplace

A. Protection in the Workplace Requirements

Records documenting establishment and implementation of a program pursuant to the requirements in the Protection in the Workplace and Hazard Communication Section and Appendix. Records used to demonstrate compliance under 29 C.F.R. § 1910.1200(e) may be used to satisfy this record keeping obligation if such records fulfill the requirements in the Protection in the Workplace and Hazard Communication Section and Appendix.

B. Demonstration of Imperviousness

Records documenting the determinations that dermal PPE is impervious to the New Chemical Substance.

C. New Chemical Exposure Limits

Records required by the NCEL Section of the Protection in the Workplace Appendix in this Order must be maintained for 30 years after the date they are created.

D. Hazard Communication Program

1. Records documenting establishment and implementation of a Hazard Communication Program.
2. Copies of labels.
3. Copies of Safety Data Sheets.
4. Records documenting compliance with the employee training requirements in this Order.

V. Compliance with this Order

A. Terms of Manufacturing, Processing, Use, Distribution and Disposal

Records documenting compliance with the applicable manufacturing, processing, use, distribution, and disposal requirements in this Order.

B. Disposal Requirements

Records documenting compliance with the applicable disposal requirements including method of disposal, location of disposal sites, dates of disposal and volume of New Chemical Substance. If the estimated disposal volume is not known or reasonable ascertainable by the Company, records must be maintained that demonstrate establishment and implementation of a program that ensures compliance with any applicable disposal requirement(s).

### C. Release to Water Requirements

Records documenting establishment and implementation of procedures designed to ensure compliance with any applicable water discharge limit, discharge monitoring requirement, or other requirement related to the release to water of the New Chemical Substance. Records may include sampling and laboratory analyses of the discharge, and records related to discharges under the Federal Water Pollution Control Act (commonly known as the Clean Water Act (CWA)) or analogous State law, including location of treatment facility, permit numbers issued under all federal environmental statutes, method of treatment, monitoring and release records (including Discharge Monitoring Reports pursuant to the CWA, and /or additional information in support to demonstrate compliance.

### VI. Exemption Records

Records documenting compliance to the requirements of any exemption specifically included in this Order.

#### Research & Development Exemption

For any amounts or batches of the New Chemical Substance eligible for the Research and Development Exemption, the Company must maintain, for 5 years from the date of their creation, the records required by 40 C.F.R. § 720.78(b).

## Appendix 8: Successor Liability Upon Transfer of Order

The Company may transfer its interest in the New Chemical Substance, after the New Chemical Substance has been placed on the TSCA Inventory. The terms of this Order apply to a Successor in Interest, pursuant to the following requirements:

1. The Notice of Transfer of Toxic Substances Control Act Section 5(e) Order (Notice of Transfer) must be fully executed before the Successor in Interest manufactures the New Chemical Substance.
2. The Notice of Transfer shall clearly state the effective date of the transfer of interest in the New Chemical Substance and must contain provisions which expressly transfer liability for the New Chemical Substance under the terms of this Order from the Company to the Successor in Interest.
3. Copies of the Notice of Transfer must be maintained by the Successor in Interest at its principal place of business, and at all sites where the New Chemical Substance is manufactured.
4. The Notice of Transfer when fully executed shall be incorporated as, and become an enforceable part, of this Order.
5. The Successor in Interest is liable for compliance with the requirements and obligations of the Order as of the date of the transfer of interest in the New Chemical Substance.
6. The Notice of Transfer shall be submitted as a support document for the PMN, using the procedures set out in 40 C.F.R. § 720.40, within 10 days of the effective date of the transfer.
7. Any new confidentiality claims asserted in the Notice of Transfer must be substantiated at the time of the submission in accordance with TSCA Section 14(c)(3), 15 U.S.C. § 2613(c)(3). Guidance on substantiating CBI claims may be found at <https://www.epa.gov/tsca-cbi/substantiating-cbi-claims-under-tsca-time-initial-submission>. A Notice of Transfer cannot modify a CBI claim made by the PMN Submitter to assert a claim of confidentiality for information which has been released to the public by EPA because (1) PMN Submitter did not assert a CBI claim for that information, or (2) notwithstanding such a claim, EPA



disclosed the information to the public in accordance with its authority under TSCA or applicable regulations.

NOTICE OF TRANSFER OF TOXIC SUBSTANCES CONTROL ACT

SECTION 5(e) ORDER

Transferor:

Name of New Chemical Substance:

PMN Number:

1. Transfer of Interest in New Chemical Substance Pursuant to Terms of the Order. Effective on \_\_\_\_\_, the Company did sell or otherwise transfer to \_\_\_\_\_, (“Successor in Interest”) its interests in the above-referenced New Chemical Substance, which was the subject of a premanufacture notice (“PMN”) and the manufacture of which is governed by an Order issued by the U.S. Environmental Protection Agency (“EPA”) under the authority of Section 5(e) of the Toxic Substances Control Act (“TSCA”), 15 U.S.C. §2604(e).

2. Assumption of Liability. The Successor in Interest hereby certifies that, as of the effective date of transfer, it has assumed all obligations conferred under the Order. The Successor in Interest also certifies that it is incorporated, licensed, or doing business in the United States in accordance with 40 C.F.R. § 720.22(a)(3).

3. Confidential Business Information. The Successor in Interest hereby (check one):

- Reasserts
- Relinquishes
- Modifies

all Confidential Business Information (“CBI”) claims made by the Company, pursuant to Section 14 of TSCA, 15 U.S.C. § 2613, and 40 C.F.R. part 2, for the New Chemical Substance(s). Where “reasserts” or “relinquishes” is indicated, that designation will be deemed to apply to all such claims. Where “modifies” is indicated, such modification will be explained in detail in an attachment to this Notice of Transfer.

I certify that it is true and accurate that the Successor in Interest has:

- (a) Taken reasonable measures to protect the confidentiality of the information;

- (b) Determined that the information is not required to be disclosed or otherwise made available to the public under any other Federal law;
- (c) A reasonable basis to conclude that the disclosure of the information is likely to cause substantial harm to the competitive position of the Successor in Interest; and
- (d) A reasonable basis to believe that the information is not readily discoverable through reverse engineering.

CBI claims for chemical identity must be accompanied by a generic chemical identity, which may be that used for the PMN.

Company (Transferor)	PMN Number
Signature of Authorized Official	Date
Printed name of Authorized Official	
Title of Authorized Official	
Successor in Interest	Date
Signature of Authorized Official	Successor's Technical Contact
Printed Name of Authorized Official	Phone
Title of Authorized Official	Address
Address	City, State, Zip Code
City, State, Zip Code	

## Appendix 9: Performance-Criteria for Sampling and Analytical Method

- I. Applicability
  - A. For initial development and validation of the sampling and analytical method for the New Chemical Substance, all the requirements of this Appendix apply.
  - B. For subsequent exposure monitoring conducted pursuant to the Monitoring Potential Exposure Appendix, only the following requirements of this Appendix apply: Sections (IV)(A), (IV)(C)(2), (IV)(D)(2), (VIII), and (IX). Any deviation from the requirements of this Appendix must be approved in writing by EPA.
- II. Submission of Verified Method and Certification Statement
  - A. The Company must submit to EPA a copy of a validated sampling and analytical method for the New Chemical Substance which satisfies the criteria specified in this Appendix.
  - B. The method description must expressly state how the method compares with each quantitative requirement specified in this Appendix.
  - C. The submission must include a written statement, signed by authorized officials of both the Company and an independent reference laboratory (the Laboratory), certifying the truth and accuracy of the independent laboratory verification conducted pursuant to the requirements of this Appendix.
  - D. To assist EPA in identifying the document, it must state in a conspicuous, underlined subject-line at the top of the first page: “NCEL Sampling and Analytical Method for PMN # \_\_\_\_\_,” after which the correct PMN number for the New Chemical Substance must be stated.
  - E. This information must be submitted as a support document for the PMN, using the procedures set out in 40 C.F.R. § 720.40.

### III. Verification of Analytical Method by Independent Third-Party Laboratory

#### A. Verification

1. The Company must have the Laboratory, an independent reference laboratory, verify the validity of the analytical method for the New Chemical Substance, in accordance with the other requirements in this Appendix.
2. It is the Company's responsibility to ensure that the Laboratory complies with all the requirements specified in this Appendix.

#### B. Independent Reference Laboratory

The Laboratory must be a separate and distinct person (as defined at 40 C.F.R. § 720.3(x)) from the Company and from any other person who may have developed the method for the Company.

#### C. Accreditation

The Laboratory must be accredited by a formally recognized government or private laboratory accreditation program for chemical testing and/or analysis.

#### D. Good Laboratory Practice Standards

The analytical method verification by the Laboratory must comply with TSCA Good Laboratory Practice Standards ("GLPS") at 40 C.F.R. part 792. Certain provisions of the TSCA GLPS related to toxicity testing in laboratory animals, 40 C.F.R. § 792.43 "Test system care facilities", 40 C.F.R. § 792.45 "Test system supply facilities" and 40 C.F.R. § 792.90 "Animal and other test system care", are inapplicable to the NCEL requirements. Compliance with TSCA GLPS, however, is not required under this New Chemical Exposure Limit Section where the analytical method is verified by a laboratory accredited by either: the American Industrial Hygiene Association ("AIHA") Industrial Hygiene Laboratory Accreditation Program ("IHLAP") or another comparable program approved in advance in writing by EPA.

#### E. Analysis of Duplicate Samples

1. The Company must collect six duplicate samples (a total of 12) at the TWA concentration.
2. The samples must be taken either from a controlled environment (e.g., a sealed chamber or “glove box”) which closely resembles the actual workplace conditions or, for solids and liquids with very low vapor pressure, by injecting the New Chemical Substance onto a sample collection device.
3. The duplicate samples must be collected on identical collection media, at the same time, and under the same conditions.
4. One set of six samples must immediately be analyzed by the Company, the other set of six samples must be analyzed by the Laboratory using the method developed by or for the Company.

#### F. Sample Storage Study

1. If the results of the duplicate samples do not satisfy the requirements in Comparison of Results Section, the Company must perform a sample storage study as follows:
  - a. Triplicate Samples
    - i. The Company must collect six triplicate samples (a total of 18) at the TWA concentration.
    - ii. The samples must be taken either from a controlled environment (e.g., a sealed chamber or “glove box”) which closely resembles the actual workplace conditions or, for solids and liquids with very low vapor pressure, by injecting the New Chemical Substance onto a sample collection device.
    - iii. The triplicate samples must be collected on identical collection media, at the same time, and under the same conditions. One set of six samples must immediately be analyzed by the Company.
  - b. Analysis After Sample Storage

- i. A sample storage evaluation must be performed with the two remaining sets of six samples.
- ii. One set of six samples must be analyzed by the Laboratory using the method developed by or for the Company, and the other must be analyzed by the Company on the same day as the Laboratory analyzes its six samples.
- iii. The samples must be stored, extracted (if applicable), and analyzed under similar conditions and time frames.

#### G. Comparison of Results

1. The analytical samples must be evaluated according to the method described in the Statistical Analysis of NCELS Analytical Method Verification Appendix.
2. The samples must be evaluated using a two-sample t-test with unequal variances. The two sides of the critical regions must not exceed a 5% significance level.
3. The average of each set of six samples must be within 10% of the true value.
4. If the average of each set of six samples is not within 10% of the true value, then the sample storage time between collection and analysis must be reduced until the average is within 10%.

#### H. Submission of Analytical Method Validation

This information must be submitted as a support document for the PMN, using the procedures set out in 40 C.F.R. § 720.40.

### IV. Accuracy

The sampling and analytical method must clearly demonstrate the following:

#### A. NCEL Quantitation Limits

1. The sampling and analytical method, and all exposure monitoring data relied on by the Company, must be accurate to within  $\pm 25\%$  at a 95% confidence level for

concentrations of the New Chemical Substance ranging from one half the NCEL to twice the NCEL.

2. The analytical method must be capable of reliably quantifying from a lower quantitation limit (“LQL”) of one half the NCEL to an upper quantitation limit (“UQL”) of at least twice the NCEL.
3. If the Company obtains an exposure monitoring sample that is more than 10% above the actual UQL of the analytical method, the Company must comply with Measurements Outside Quantitation provisions of the NCEL Respiratory Section of the Protection in the Workplace Appendix.

#### B. Lower Quantitation Limit Signal-To-Noise Ratio

1. The analytical method must be capable of quantifying the PMN to a concentration of one half the NCEL with a signal that is at least five times the baseline noise level.
2. Baseline noise must be amplified to a measurable level when possible, even if the required amplification is beyond that used in routine analysis of samples. (If baseline noise cannot be obtained, another reference must be selected. This may be a peak considered to be noise caused by the reagent matrix.)
3. The sampling preparation method must be specified and the detection limit for the analytical procedure must be reported as mass per injection for chromatographic techniques.

#### C. Instrument Calibration

##### 1. Initial Calibration

- a. For method development and validation (but not subsequent exposure monitoring), the initial calibration must at a minimum consist of five (5) calibration standards with a linear correlation of 0.95.
- b. The five (5) calibration standards must consist of one standard at each of the following concentrations: one half the NCEL (0.5 x NCEL); between one half and one times the NCEL (>0.5 x NCEL, < 1 x NCEL); one times the NCEL (1



x NCEL); between one and two times the NCEL ( $>1 \times \text{NCEL}$ ,  $< 2 \times \text{NCEL}$ ), and twice the NCEL ( $2 \times \text{NCEL}$ ).

## 2. Continuing Calibration

- a. During each week of both method development/validation and exposure monitoring, the Company must conduct both an initial instrument calibration and a continuing calibration.
- b. The Company must perform at least one continuing calibration sample at the NCEL concentration, and at least one additional calibration sample per every 10 samples analyzed.
- c. The continuing calibration sample must fall within  $\pm 25\%$  of the initial calibration value. If not, then the initial calibration must be repeated, and any samples associated with that outlying calibration check must be re-analyzed.

## D. Calculated Percent Recovery.

### 1. Initial Calculation.

- a. For method development and validation, the Company must calculate the percent of the New Chemical Substance recovered by the analytical method from a sample containing a known quantity of the New Chemical Substance.
- b. The sample must be taken either from a controlled environment (e.g., a sealed chamber or “glove box”) which closely resembles the actual workplace conditions or, for solids and liquids with very low vapor pressure, by injecting the New Chemical Substance onto a sample collection device. (Such a sample is referred to as a “matrix spike”).
- c. The calculated percent recovery for each matrix spike must be greater than or equal to 75% and less than or equal to 125%.
- d. Spike concentrations for the New Chemical Substance must be included in the sampling and analytical method submitted to EPA.

### 2. Subsequent Calculation

During each subsequent exposure monitoring episode or campaign, at least 1 matrix spike, prepared by injecting the New Chemical Substance onto a sample collection device, must be analyzed. (This matrix spike must be prepared at the NCEL concentration.)

#### E. Sampling Device Capacity

1. The capacity of the sampling device must be tested, and results reported to show under a known and well-defined set of conditions that the device is capable of collecting the new chemical in solid, liquid or vapor phase with minimal loss.
2. The sampling device's capacity (air volume and collected analyte mass) must be specified. For methods that use adsorbent tubes as the collection medium, evidence of the capacity must be provided in the form of breakthrough testing.
3. This testing must be done at a concentration twice the NCEL and under conditions expected in the workplace.
4. Breakthrough is defined to have occurred when the concentration of the New Chemical Substance in the effluent stream is equal to 5% of the concentration of the influent stream, or when 20% of the New Chemical Substance is detected in the backup section of the sampler.

#### F. Sampling Device Desorption Efficiency

1. Where applicable, the desorption efficiency must be evaluated for the air sampling device.
2. A minimum of six air samples spiked with the New Chemical Substance at least the NCEL concentration must be prepared.
3. A recovery of at least 75% must be obtained for each of the six samples.

## V. Precision

The estimate of the coefficient of variation of each set of six samples from the controlled atmosphere test (spiked at 1.0 NCEL, in Analysis of Duplicate Samples or Sample Storage Study) must be less than 0.105, including allowance of 0.05 for error due to sampling.

## VI. Interpretation of Accuracy and Precision Data

- A. If a single matrix spike recovery is less than 75% recovery or greater than 125% or the estimated precision is greater than 0.105, then the Company must re-prepare the matrix spike, re-sample, and re-analyze all samples associated with such matrix spike or triplicate samples.
- B. For percent recoveries less than 90% but greater than 75%, correction for low recovery is required. Correct for recovery first by dividing the observed amount by the proportion recovered before determining if measurements fall below the NCEL. For example, if the observed level is 30 mg/m<sup>3</sup> and the percent recovery is 75%, use the value  $30 \text{ mg/m}^3 / (0.75) = 40 \text{ mg/m}^3$  when determining whether the levels are below the exposure limit.

## VII. Representativeness

All sample conditions used to develop the methodology must mimic the actual workplace environment. Conditions such as the temperature, humidity, lighting, and presence of other chemicals, etc. must mimic the conditions in the workplace.

## VIII. Changes Affecting Validity

### A. Changes in the Workplace Environment

Changes in the workplace environment that may invalidate the verified sampling and analytical method for the New Chemical Substance include but are not limited to:

1. introduction of a new chemical substance
2. changes in the light in the workplace

3. changes in the water or humidity

B. Respirator Requirement

The Company must comply with the Respirator Requirement until the sampling and analytical method has been verified under the new workplace environment.

IX. Comparability

All data and results must be reported in the same units of measurement as the NCEL.

X. Responsibility for Method Validity

The independent Laboratory verification and EPA receipt of the sampling and analytical method does not ensure that the method will produce valid exposure monitoring data. The Company is responsible for ensuring the validity of its exposure monitoring data.

## Appendix 10: Monitoring Potential Exposure

### I. General

#### A. Action Level

1. The “action level” is defined as an airborne concentration of the New Chemical Substance, calculated as an 8-hour time-weighted average (TWA), equal to one half the NCEL.
2. For non-8-hour work shifts, the action level is equal to one half the NCELn.
3. The purpose of the action level is to determine the mandatory monitoring frequency.

#### B. Representative Exposure Groups

1. Whenever exposure monitoring is required by the New Chemical Exposure Limit Section, the Company must take representative samples of each person who is reasonably likely to be exposed to the New Chemical Substance.
2. The Company must sample the breathing zone air of at least one person that represents, and does not underestimate, the potential exposure of every person performing the same or substantially similar operations for each work shift, in each job classification, in each work area (hereinafter identified as an “exposure group”) where inhalation exposure to the New Chemical Substance is reasonably likely to occur.
3. The exposure of each person need not be itself directly sampled if that exposure is represented by sampling the exposure of another person in the same exposure group.

#### C. Good Laboratory Practice Standards

Exposure determinations must be performed according to TSCA Good Laboratory Practice Standards at 40 C.F.R. part 792 and the sampling and analytical method developed for the New Chemical Substance.

#### D. Full Shift Exposure Samples

Representative 8-hour TWA airborne concentrations must be determined using samples representing the full shift exposure for each exposure group.

#### E. STEL Samples

Determinations of compliance with the STEL must be made from 15-minute breathing zone samples measured at operations where there is maximum short-term exposures, such as during, but not limited to, the following operations: \_\_\_\_\_. (Delete this paragraph if there is no STEL)

### II. Initial Monitoring

The Company must conduct initial exposure monitoring to accurately determine the airborne concentration of the New Chemical Substance for each exposure group before the Company may deviate from the respirator requirements.

### III. Periodic Monitoring

#### A. Samples $\geq$ Action Level and $\leq$ TWA

1. If any samples taken during the initial exposure monitoring result in an airborne concentration at or above the action level but at or below the TWA, the Company must repeat the exposure monitoring for that exposure group at least every 6 months.
2. If the New Chemical Substance is not manufactured, processed, or used during a given 6-month calendar period, the Company is not required to conduct exposure monitoring until manufacture, processing, or use of the New Chemical Substance is resumed.
3. Cessation of manufacturing, processing, and use of the New Chemical Substance for less than the 6-month period does not constitute grounds for postponement of the 6-month deadline to conduct exposure monitoring.

#### B. Samples $>$ TWA

1. If any samples taken during the initial exposure monitoring result in an airborne concentration above the TWA, the Company must repeat the exposure monitoring for that exposure group at least every 3 months.
2. If the New Chemical Substance is not manufactured, processed, or used during a given 3-month calendar period, the Company is not required to conduct exposure monitoring until manufacture, processing, or use of the New Chemical Substance is resumed.
3. Cessation of manufacturing, processing, and use of the New Chemical Substance for less than the 3-month period, however, does not constitute grounds for postponement of the 3-month deadline to conduct exposure monitoring.
4. The Company may alter the exposure monitoring schedule from every 3 months to every 6 months for any exposure group for whom two consecutive measurements taken at least 7 days apart result in an airborne concentration at or above the action level but at or below the TWA. Where the New Chemical Substance is manufactured, processed, or used in batches of duration less than 7 days, the 2 consecutive measurements may be taken at least 24 hours apart, provided that the measurements accurately reflect the highest peak exposures.

#### IV. Termination of Monitoring

##### A. Initial Exposure Monitoring

1. If representative samples taken during the initial exposure monitoring result in an airborne concentration below the action level, the Company may discontinue monitoring for that exposure group.
2. The Company must still comply with the Additional Monitoring Requirements of this Appendix.

##### B. Periodic Exposure Monitoring

1. If representative samples taken during the periodic monitoring result in an airborne concentration are below the action level, the Company may discontinue the monitoring for that exposure group.

2. The representative samples must be 2 consecutive measurements taken at least 7 days apart.
3. Where the New Chemical Substance is manufactured, processed, or used in batches of duration less than 7 days, the 2 consecutive measurements may be taken at least 24 hours apart, provided the measurement reflect the highest peak exposures.
4. The Company must still comply with the Additional Monitoring Requirements of this Appendix.

V. Additional Monitoring

- A. For a previously monitored exposure group, the Company must conduct the initial exposure monitoring followed by any periodic exposure monitoring required by this Appendix, within 7 days of:
  1. Any change in the production volume, process, control equipment, personnel or work practices that may cause new or additional exposures to the New Chemical Substance;
  2. Any spills, leaks, ruptures, or other breakdowns occur that may cause new or additional exposures to the New Chemical Substance; and
  3. Any process or environmental change that may result in new or additional exposures to the New Chemical Substance.
- B. The additional exposure monitoring requirement of this Appendix is not intended to delay implementation of any necessary cleanup or other remedial action. During any cleanup or remedial operations that may occur before commencing additional exposure monitoring, the Company must ensure that potentially exposed persons use at least the respiratory protection specified in Protection in the Workplace Appendix for the measured airborne concentration, or more protective respiratory equipment deemed appropriate by the best professional judgment of a qualified expert.



## VI. Notification of Monitoring Results

- A. Within 15 working days after receipt of the results of any exposure monitoring required by this Order, the Company must notify each person whose exposure is represented by that monitoring. The notice must identify the NCEL, the exposure monitoring results, and any corresponding respiratory protection required by the Protection in the Workplace Appendix.
- B. Affected persons must be notified in writing either individually or by posting the information in an appropriate and accessible location.
- C. Whenever the NCEL is exceeded, the written notification must describe the action being taken by the Company to reduce exposure to or below the NCEL or must refer to a document available to the person which states the actions to be taken to reduce exposure.

## VII. Exemption based on Objective Data

- A. Where the Company has reliable objective data demonstrating that, even under worst-case conditions, no person's exposures will exceed the action level for a specific "exposure group", then that exposure group is exempt from the requirements of the New Chemical Exposure Limit Section of the Protection in the Workplace Appendix. Additional monitoring, NCEL recordkeeping and respirator requirements are still applicable.
- B. Any such objective data must accurately characterize people's exposures and must be obtained under conditions resembling the types of materials, processes, control methods, work practices, and environmental conditions in workplace operations. Examples of objective data include information on the physical and chemical properties of the New Chemical Substance, industry-wide studies, and/or laboratory test results.

## Appendix 11: Statistical Analysis of NCEs Analytical Method Verification Results

This Attachment describes the statistical technique for comparing the analytical results obtained by two laboratories.

### STATISTICAL TECHNIQUE

To obtain two-sample t test with unequal variances, perform the following operations:

- Compute means of the data measured by two laboratories.
- Compute mean squares

$$S_i^2 = \Sigma(X_{ij} - X_i)^2 / (n_i - 1), i=1, 2$$

- Form the ratio

$$T = (X_1 - X_2) / (W_1 + W_2)^{1/2}$$

- Compute degrees of freedom

$$f = (W_1 + W_2)^2 / [W_1^2 / (n_1 - 1) + W_2^2 / (n_2 - 1)]$$

where,

$$W_i = S_i^2 / n_i, i = 1, 2$$

$X_1$  = Average of the results from the company laboratory

$X_2$  = Average of the results from the independent laboratory

$n_1$  = Number of samples analyzed by the company laboratory

$n_2$  = Number of samples analyzed by the independent laboratory.

Then compare the absolute value of T to the 97.5 percentile point of a t distribution with f degrees of freedom. If the absolute value exceeds the 97.5 percentile point, the results

measured by two laboratories are significantly different at 95% level. Otherwise, they are not significantly different. In general,  $f$  may not be an integer. Use interpolation to obtain the 97.5 percentile point of a  $t$  distribution with  $f$  degrees of freedom.

EXAMPLES -- The following examples (based on simulated data) illustrate the method:

Example 1

Data Set 1	Data Set 2
80.56	97.11
100.01	102.13
86.04	99.83
52.61	97.83
84.85	105.44
95.75	100.04

$$X_1 = 83.30 \quad n_1 = 6 \quad X_2 = 100.40 \quad n_2 = 6$$

$$S_1^2 = 278.72 \quad W_1 = 46.25 \quad S_2^2 = 9.26 \quad W_2 = 1.54$$

$$\text{Absolute value of } T = 2.467 \quad f = 5.33$$

The  $t$  table shows that the 97.5 percentile point is 2.571 and 2.447 for 5 and 6 degrees of freedom, respectively. For 5.33 degrees of freedom, the 97.5 percentile point will be approximately 2.530 which is greater than the absolute value of  $T$ , 2.467. Hence, the means of two data sets are not significantly different at the 5% level.

However, if this problem had been treated as an ordinary two-sample  $t$  test, the means would be significantly different at the 5% level because the absolute of  $T$  is greater than 2.228, the 97.5 percentile point for the  $t$  distribution with 10 degrees of freedom.

## Example 2

Data Set 1

82.87

101.85

87.44

99.68

101.15

99.21

Data Set 2

108.05

96.51

100.04

104.33

110.32

107.00

$X_1 = 95.37$

$n_1 = 6$

$X_2 = 104.37$

$n_2 = 6$

$S_1^2 = 65.59$

$W_1 = 10.93$

$S_2^2 = 27.25$

$W^2 = 4.54$

Absolute value of  $T = 2.290$

$f = 8.54$

The t table shows that for 8 and 9 degrees of freedom the 97.5 percentile point is 2.306 and 2.262, respectively. For 8.54 degrees of freedom the 97.5 percentile point will be approximately 2.282 which is less than the absolute value of  $T$ , 2.290. Hence, the means of two data sets are significantly different at the 5% level.

## Appendix 12: NCEL Recordkeeping

Whenever the Company elects to comply with the New Chemical Exposure Limit Section rather than the respirator requirements in the Protection in the Workplace Section of this Order, the Company must maintain the following records until 30 years after the date they are created, and must make them available for inspection and copying by EPA in accordance with Section 11 of TSCA:

1. Records documenting compliance with the analytical method verification requirements of the Performance-Criteria for Sampling and Analytical Method Appendix, including copies of the signed certification statement and the verification results obtained by both laboratories;
2. Records documenting either compliance with the Good Laboratory Practice Standards at 40 C.F.R. part 792 or use of a laboratory accredited by the AIHA or another comparable program approved in advance in writing by EPA. Where the Company elects to not comply with TSCA GLPS, such records must include the written accreditation from the AIHA or the written approval from EPA.
3. Records documenting all exposure monitoring dates, duration, and results of each sample taken;
4. Records documenting the name, address, work shift, job classification, and work area of the person monitored and of all other persons whose exposures the monitoring is intended to represent;
5. Records documenting any conditions that might have affected the monitoring results;
6. Records documenting notification of exposure monitoring results required by the Monitoring Potential Exposure Appendix;
7. Records documenting any changes in the production, process, control equipment, personnel or work practices that may reasonably cause new or additional exposures to the New Chemical Substance;

8. Records documenting any spills, leaks, ruptures, or other breakdowns that may cause new or additional exposure;
9. Records documenting the type of respiratory protective devices worn by the monitored person, if any;
10. Records documenting any actions taken to mitigate exposures to the New Chemical Substance; and
11. Records documenting reliance on the objective data exemption in the Monitoring Potential Exposure Appendix, including:
  - (A) the source of the data,
  - (B) protocols and results of any relevant testing or analysis,
  - (C) a description of the operation exempted and how the data demonstrate that employee exposures will not exceed the action level,
  - (D) other data relevant to the operations, materials and employee exposures covered by the exemption.

## Appendix 13: NCELS Respirator Tables

### If Data on Cartridge Service Life Testing has been Conducted

Measured Concentration of New Chemical Substance	Required Respiratory Protection
≤ NCEL	No respiratory protection is required
≤ 10 x NCEL	<p>(I) Any NIOSH-certified air-purifying half-mask respirator equipped with appropriate gas/vapor (acid gas, organic vapor, or substance specific) cartridges in combination with N100 (if oil aerosols absent), R100, or P100 filters or an appropriate canister incorporating N100 (if oil aerosols absent), R100, or P100 filters.</p> <p>(II) Any NIOSH-certified powered air-purifying respirator with a hood or helmet and with appropriate gas/vapor (acid gas, organic vapor, or substance specific) cartridges in combination with HEPA filters.</p> <p>(III) Any NIOSH-certified negative pressure (demand) supplied-air respirator equipped with a half-mask.</p>

### If No Cartridge Service Life Testing has been Conducted

Measured Concentration of New Chemical Substance	Required Respiratory Protection
≤ NCEL	No respiratory protection is required
≤ 10 x NCEL	<p>(I) Any NIOSH-certified continuous flow supplied-air respirator equipped with a loose fitting facepiece, hood, or helmet.</p> <p>(II) Any NIOSH-certified negative pressure (demand) supplied-air respirator (half-mask or full facepiece).</p> <p>(III) Any NIOSH-certified negative pressure (demand) self-contained breathing apparatus</p>

Note: If a concern exists for eye/skin exposure from the chemical, delete this respirator

Note: If a concern exists for eye/skin exposure from the chemical, delete the half facepiece respirator  
Note: If a concern exists for eye/skin exposure from the

(SCBA) equipped with a half-mask. chemical, delete this respirator

(IV) Any NIOSH-certified continuous flow supplied-air respirator equipped with a loose fitting facepiece, hood, or helmet.

(V) Any NIOSH-certified negative pressure Note: If a concern exists for eye/skin exposure from the chemical, delete this respirator

(demand) self-contained breathing apparatus (SCBA) equipped with a half-mask.

≤ 25 x NCEL

(I) Any NIOSH-certified powered air-purifying respirator with a loose-fitting hood or helmet that is equipped with an appropriate gas/vapor (acid gas, organic vapor, or substance specific) cartridge in combination with HEPA filters.

(I) Any NIOSH-certified continuous flow supplied-air respirator equipped with a loose fitting facepiece, hood, or helmet.

(II) Any NIOSH-certified powered air-purifying respirator equipped with a loose fitting facepiece with appropriate gas/vapor (acid gas, organic vapor, or substance specific) cartridges in combination with HEPA filters.

(II) Any NIOSH-certified negative pressure (demand) supplied-air respirator equipped with a full facepiece.

(III) Any NIOSH-certified continuous flow supplied-air respirator equipped with a hood or helmet.

(IV) Any NIOSH-certified continuous flow supplied-air respirator equipped with a loose fitting facepiece.

≤ 50 x NCEL

(I) Any NIOSH-certified air-purifying full facepiece respirator equipped with appropriate gas/vapor (acid gas, organic vapor, or substance specific) cartridges in combination with N100 (if oil aerosols absent), R100, or P100 filters or an appropriate canister incorporating N100 (if oil aerosols absent), R100, or P100 filters.

(I) Any NIOSH-certified negative pressure (demand) supplied-air respirator equipped with a full facepiece.

(II) Any NIOSH-certified powered air-purifying respirator with a tight-fitting facepiece (half Note: If a concern exists for eye/skin exposure from the chemical, delete the half facepiece respirator

(II) Any NIOSH-certified continuous flow supplied-air respirator equipped Note: If a concern exists for eye/skin



	<p>or full facepiece) equipped with appropriate gas/vapor (acid gas, organic vapor, or substance specific) cartridges in combination with HEPA filters.</p> <p>(III)Any NIOSH-certified negative pressure (demand) supplied-air respirator equipped with a full facepiece.</p>	<p>with a tight-fitting facepiece (half or full facepiece).</p> <p>(III)Any NIOSH-certified pressure-demand or other positive pressure mode supplied-air respirator equipped with a tight-fitting facepiece (half or full facepiece).</p>	<p>exposure from the chemical, delete the half facepiece respirator</p> <p>Note: If a concern exists for eye/skin exposure from the chemical, delete the half facepiece respirator</p>
	<p>(IV)Any NIOSH-certified continuous flow supplied-air respirator equipped with a tight-fitting facepiece (half or full facepiece).</p> <p>(V)Any NIOSH-certified pressure-demand or other positive pressure mode supplied-air respirator equipped with a tight-fitting facepiece (half or full facepiece).</p> <p>(VI)Any NIOSH-certified negative pressure (demand) self-contained breathing apparatus (SCBA) equipped with a hood or helmet or a full facepiece.</p>	<p>(IV)Any NIOSH-certified negative pressure (demand) self-contained breathing apparatus (SCBA) equipped with a hood or helmet or a full facepiece.</p>	<p>Note: If a concern exists for eye/skin exposure from the chemical, delete the half facepiece respirator</p> <p>Note: If a concern exists for eye/skin exposure from the chemical, delete the half facepiece respirator</p>
≤ 1000 x NCEL	<p>(I)Any NIOSH-certified powered air purifying full facepiece respirator equipped with an appropriate gas/vapor (acid gas, organic vapor, or substance specific) cartridge in combination with HEPA filters.</p> <p>(II)Any NIOSH-certified powered air-purifying respirator with a loose-fitting hood or helmet that is equipped with an appropriate</p>	<p>≤ 1000 x NCEL</p> <p>(I)Any NIOSH-certified continuous flow supplied-air respirator equipped with a full facepiece. [provides eye/face protection].</p> <p>(II)Any NIOSH-certified continuous flow supplied-air respirator equipped with a hood or helmet <i>with</i></p>	<p>Note: Copy and paste the * and the footnote below the table when selecting this respirator</p> <p>Note: Copy and paste the * and the footnote below the table when</p>

gas/vapor (acid gas, organic vapor, or substance specific) cartridge in combination with HEPA filters with evidence demonstrating protection level of 1,000 or greater. \*

(III) Any NIOSH-certified continuous flow supplied-air respirator equipped with a full facepiece.

(IV) Any NIOSH-certified continuous flow supplied-air respirator equipped with a hood or helmet *with evidence demonstrating protection level of 1,000 or greater.* \*

(V) Any NIOSH-certified pressure-demand or other positive pressure mode supplied-air respirator equipped with a full facepiece.

> 1000 x NCEL (max 10,000 x NCEL)

Any NIOSH-certified pressure-demand or other positive pressure mode (e.g., open/closed circuit) self-contained breathing apparatus (SCBA) equipped with a hood or helmet or a full facepiece.

> 1000 x NCEL (max 10,000 x NCEL)

*evidence demonstrating protection level of 1,000 or greater.* \*

**selecting this respirator**

(III) Any NIOSH-certified pressure-demand or other positive pressure mode supplied-air respirator equipped with a full facepiece. [provides eye/face protection].

**Note: Copy and paste the \* and the footnote below the table when selecting this respirator**

Any NIOSH-certified pressure-demand or other positive pressure mode (e.g., open/closed circuit) self-contained breathing apparatus (SCBA) equipped with a hood or helmet or a full facepiece.

\* OSHA has assigned APFs of 1000 for certain types of hoods and helmets with powered air purifying respirators (PAPRs) or supplied air respirators (SARs) where the manufacturer can demonstrate adequate air flows to maintain positive pressure inside the hood or helmet in normal working conditions. However, the employer must have evidence provided by the respirator manufacturer that the testing of these respirators demonstrates performance at a level of protection of 1,000 or greater to receive an APF of 1,000. This level of performance can best be demonstrated by performing a Workplace Protection Factor (WPF) or Simulated Workplace Protection Factor (SWPF)

\* OSHA has assigned APFs of 1000 for certain types of hoods and helmets with powered air purifying respirators (PAPRs) or supplied air respirators (SARs) where the manufacturer can demonstrate adequate air flows to maintain positive pressure inside the hood or helmet in normal working conditions. However, the employer must have evidence provided by the respirator manufacturer that the testing of these respirators demonstrates

study or equivalent testing. Without testing data that demonstrates a level of protection of 1,000 or greater, all PAPRs and SARs with helmets/hoods are to be treated as loose-fitting facepiece respirators, and receive an APF of 25.

performance at a level of protection of 1,000 or greater to receive an APF of 1,000. This level of performance can best be demonstrated by performing a Workplace Protection Factor (WPF) or Simulated Workplace Protection Factor (SWPF) study or equivalent testing. Without testing data that demonstrates a level of protection of 1,000 or greater, all PAPRs and SARs with helmets/hoods are to be treated as loose-fitting facepiece respirators, and receive an APF of 25.